

# **CGIAR Fund Office Report**

**Seventh Fund Council Meeting  
March 7-8, 2012**

## Fund Office Report for FC7 Meeting

This report outlines the Fund Office's key activities and accomplishments since the FC6 Meeting in Rome in November 2011, and provides a broader analysis of the CGIAR Fund's performance in 2011. Annex 1 provides the Fund Office follow-up actions from previous Fund Council Meetings, and Annex 2 lists donor types in 2011.

### Analysis of the CGIAR Fund

In the first year of the CGIAR Fund's existence, from December 2010 - December 2011, donors contributed about half of their overall funding to the CGIAR multilaterally, through the Fund. Of that, about 80 percent was untied aid—evidence of the multilateral approach in action.

#### 2011 Fund Inflows and Outflows

In 2011, the CGIAR Fund was successful in pooling significant resources for research priorities, despite the fact that many CGIAR Research Programs (CRPs) were approved only during the year and a few were in their initial stages of implementation. By the end of 2011, the CGIAR Fund had received a total of \$378.8 million and disbursed \$251.3 million, demonstrating strong commitment by donors to the new CGIAR. Table 1 illustrates the status of the Fund and the funding windows from inception of the CGIAR Fund to December 31, 2011.

**Table 1: Fund Status**  
as of 12/31/2011

	Window 1	Window 2	Window 3	Provisional	Total
Received	252.7	51.1	63.0	11.9	378.8
Disbursements	159.5	30.2	61.6		251.3
Fund Balance	93.2	20.9	1.4	11.9	127.5
2011 Contributions in Process*	1.3	1.5	3.9		6.6

*\* Funds were received but Contribution Agreements had not been signed by 12/31/2011, or Contribution Agreements were signed by year end, but funds were not received in 2011.*

An analysis of funding inflows to the Fund shows the pace of projections as they turn into actual receipts during the year (see Figure 1). The year-end projection of \$437.5 million included Canada, the EC and Japan, whose Contribution Agreements/Arrangements were not finalized before the end of 2011. Figure 2 shows the Fund balances by month during 2011, with disbursements indicating how the balance goes up and down during the year. The trend of receiving the bulk of donor funds towards the later part of the year is a major concern for the CGIAR. Addressing this would require more uniformly distributed disbursements by donors throughout the year. An alternative solution is to set a reserve level in the Fund.

Figure 1

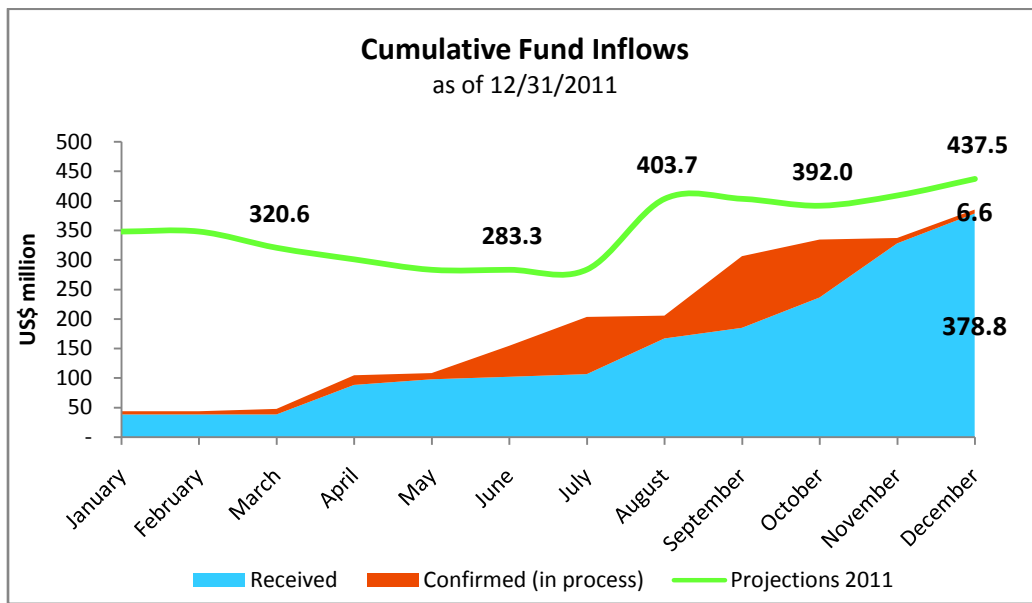
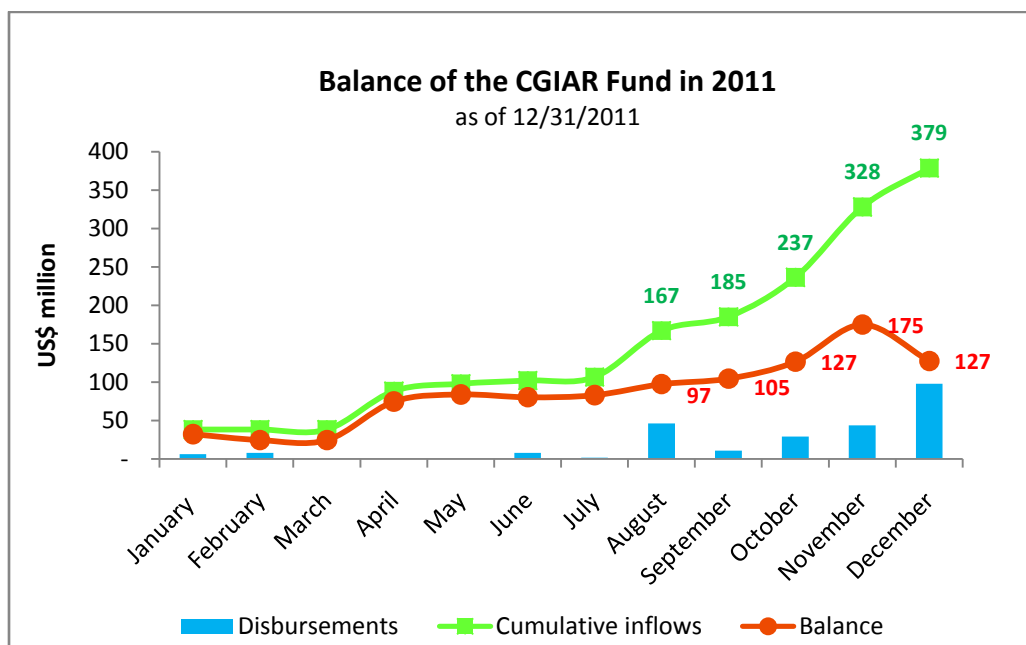


Figure 2



Further analysis of contributions by donors and by funding windows is shown in Figure 3, illustrating the windows used by donors and three major types of donor: a) predominantly Window 1 and Window 2 donors, with clear preferences for Windows 1 or 2; b) mixed portfolio donors who spread contributions across all three windows; and c) predominantly Window 3 donors that provide funds outside the remit of the Fund Council.

**Figure 3**

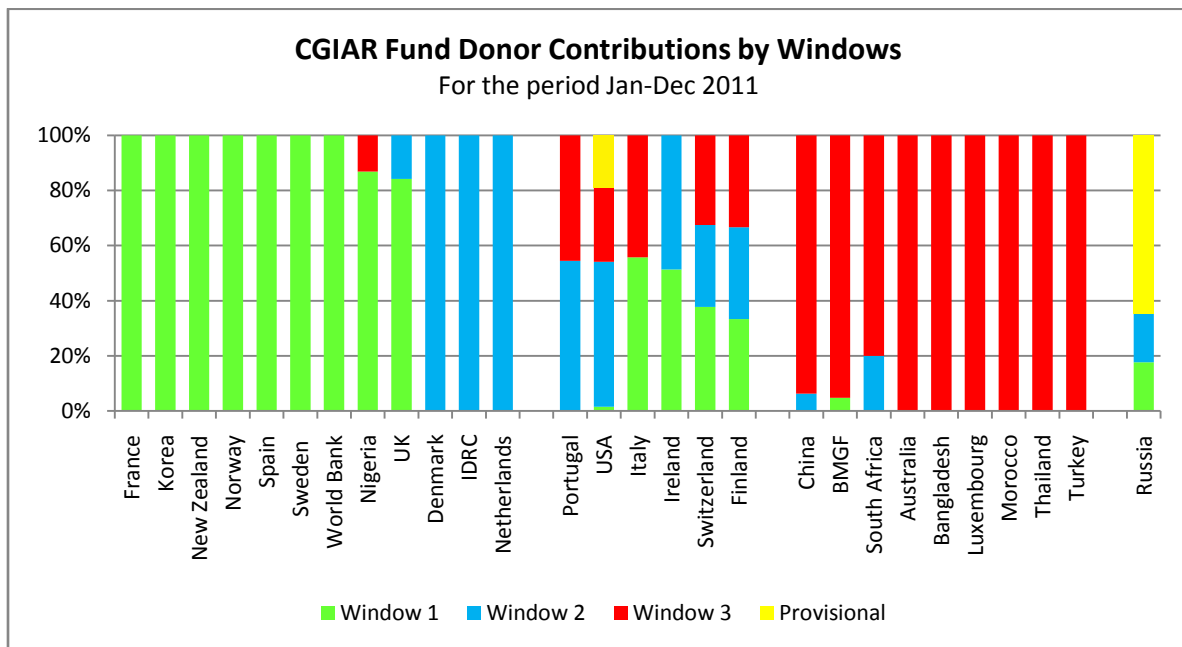
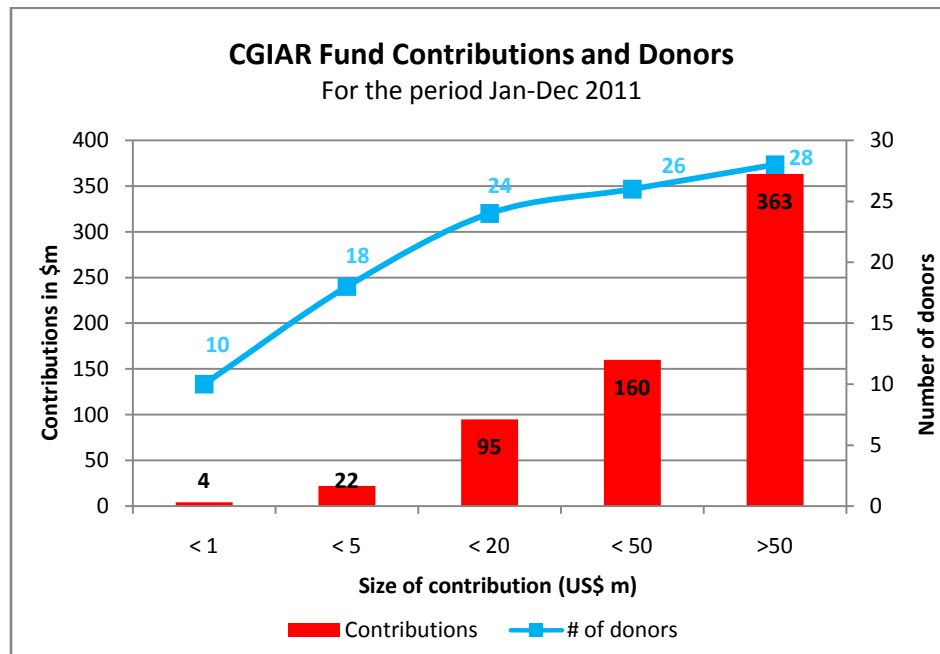


Figure 4 below illustrates how the pattern of contributions to the Fund is made up predominantly of contributions of less than \$20 million (86% of Fund donors provide 26% of the funds, with a mean of \$4.0 million each), and just two donors together provide 56% of total funding. As a result, the Fund is currently heavily reliant on two major donors, which carries exposure to risk.

**Figure 4**



Funding inflows and outflows through the Fund illustrate the level of restriction donors impose on their contributions (see Figures 5 and 6). Windows 1 and 2 combined represent 80% of total contributions, an important step towards more harmonized funding.

Figure 5

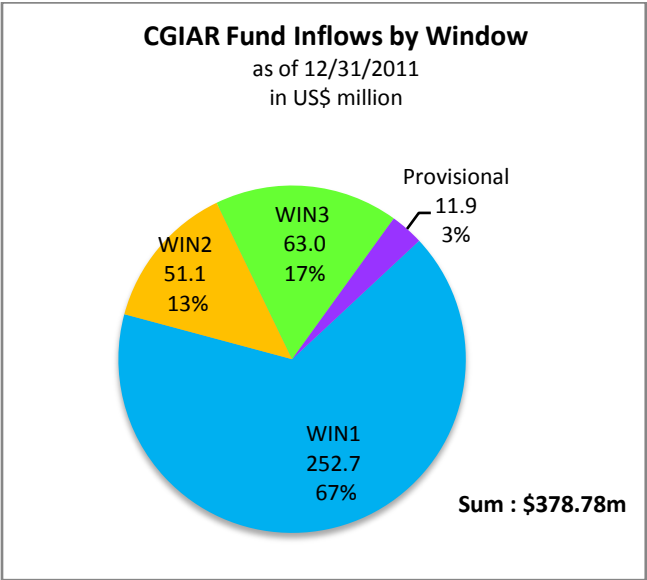
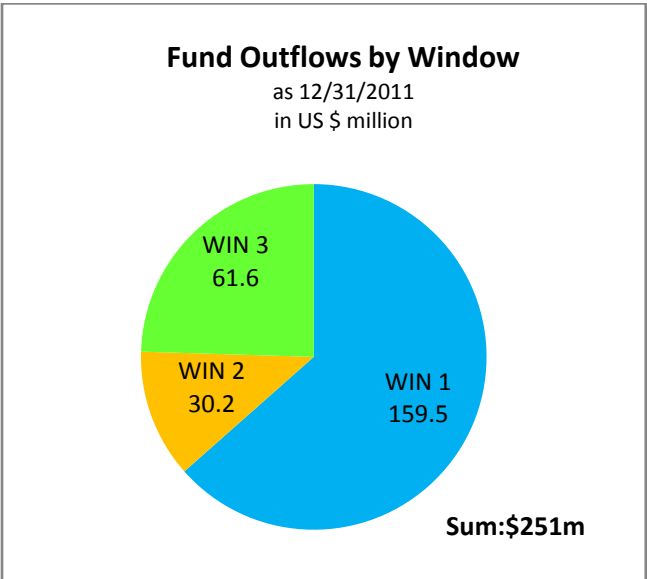
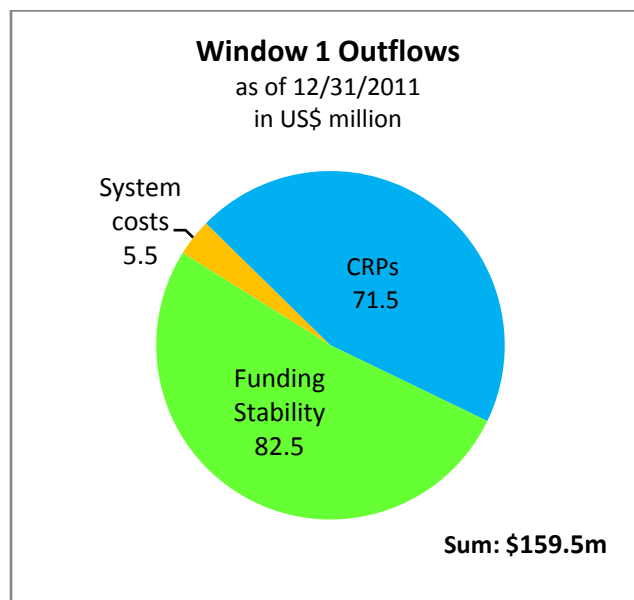


Figure 6



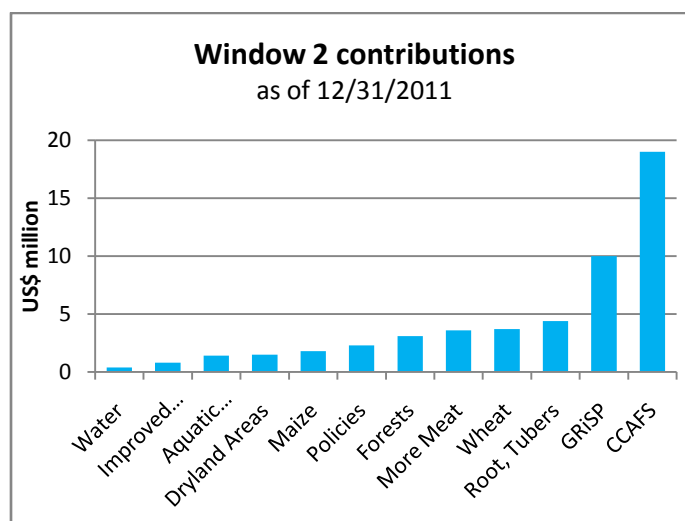
Window 1 disbursements approved by the Fund Council are shown in Figure 7. The allocation of pooled funds from Window 1 to CRPs and to Funding Stability demonstrates the strength of the reform and the CGIAR's ability to adapt to changing times.

**Figure 7**

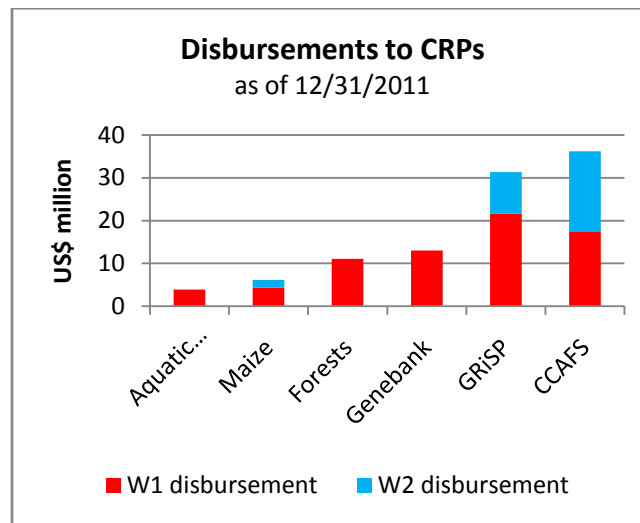


Window 2 contributions and disbursements to CRPs are shown in Figures 8 and 9. The composition of disbursements to CRPs indicates the essential role of Window 1 contributions in providing funding across the approved CRPs. Although a number of CRPs were approved and Window 2 funding was allocated by donors before the end of 2011, some CRPs were unable to request payment pending the completion of legal agreements, while other funds were not disbursed before the end of the year because funding was received late in December, prohibiting the processing of payment requests prior to December 31, 2011.

**Figure 8**



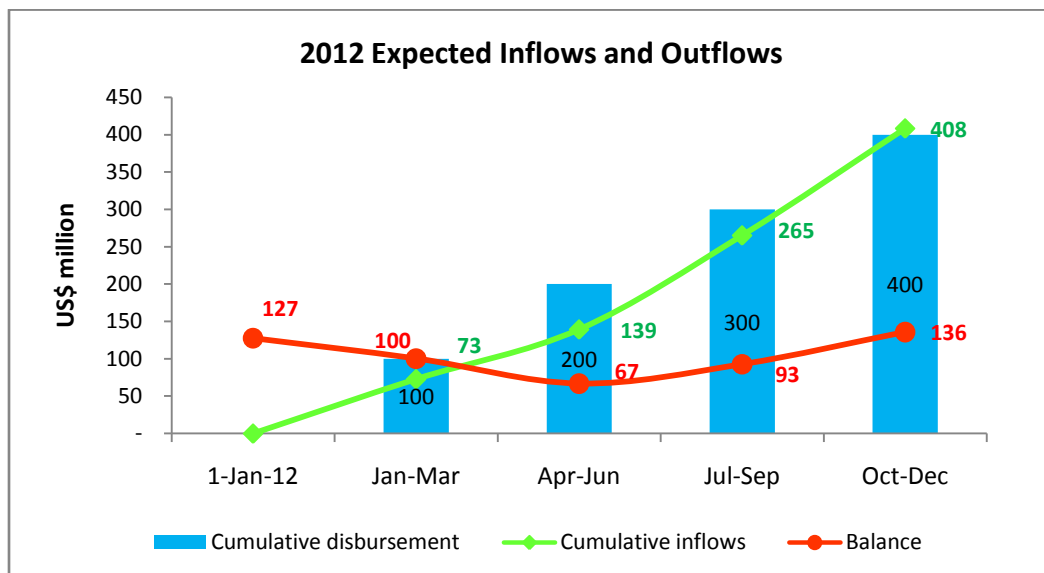
**Figure 9**



### 2012 Expected Inflows and Outflows

As of February 2012, the Fund has received 2012 contributions of \$10.5 million. In addition, eight donors have signed or are in the process of completing their Contribution Agreements/Arrangements with the Fund, amounting to \$32.9 million. Together with projections based on estimated indications from on-going communications with donors, it is expected that by the end of 2012, the CGIAR Fund will receive contributions of \$408.3 million for this year. Figure 10 shows expected disbursements from Windows 1 and 2 in 2012, and quarterly expected total receipts and disbursements based on the Consortium's estimated budget if spread out equally over the year.

**Figure 10**



## **Support to the Fund Council Chair**

The Fund Office provides ongoing support to the Fund Council Chair and liaises between the Chair and the Consortium, Fund Council, and CGIAR Centers, where appropriate. On January 17, 2012 the Fund Office staff met with the Chair to introduce Ms. Kyte to the team and to hear what she sees as the priorities and opportunities for the CGIAR in general and the Fund in particular.

On January 12, 2012 the Fund Office supported a meeting between the Fund Council Chair Rachel Kyte and Tony Simons, Director General of the World Agroforestry Centre (ICRAF), to discuss center-level issues related to the CGIAR reform and ICRAF's key participation in CRP6 on Forests, Trees and Agroforestry.

The Fund Office supported a visit by Ms. Kyte to ICRAF, where she held a joint ICRAF/ILRI town hall on February 2, 2012 with CGIAR staff (from several centers) who are based in Kenya. FO staff prepared briefing notes, talking points, and Q&A for the Chair. During Ms. Kyte's visit, her first ever to a CGIAR center, she discussed issues faced by the staff, provided a clear sense of the new direction and opportunities for the CGIAR, and toured the ICRAF campus and laboratories to see ongoing research.

On February 10, 2012, the Fund Office supported a meeting between the Fund Council Chair and an IFPRI delegation comprised of Shenggen Fan, Director General; Rajul Pandya-Lorch, Chief of Staff; Mark Rosegrant, Acting Director of CRP2 on Policies, Institutions, and Markets; and John McDermott, Director of CRP4 on Agriculture for Improved Nutrition and Health. The objective was for the IFPRI team to introduce the Chair to IFPRI's work and the two CGIAR Research Programs that IFPRI leads.

On February 23, the Fund Office supported a meeting between the Fund Council Chair and Carl Hausmann, Vice Chair of the CGIAR Consortium Board, to discuss the CGIAR Consortium Board meeting, to be held in Montpellier on February 28-29, and key messages that Mr. Hausmann should convey to the Board on Ms. Kyte's behalf.

## **Support to Fund Council Business Processes and Decision Making**

### **Fund Council and Donor Meetings**

In addition to organizing the 7th Fund Council meeting on March 7-8, 2012, and bilateral meetings and a donor meeting on March 6, the Fund Office will organize the 8th Fund Council Meeting (November 2-3, 2012 in Uruguay), the Funders Forum (October 31, 2012 in Uruguay) and a high-level donor meeting, to be held in late 2012.

### **CGIAR Research Programs (CRPs)**

The Fund Office performs an important role in facilitating the process that enables CRPs to become fully operational. The FO guides the process of obtaining Fund Council approval, completing all required legal documents, disbursing funds, and reporting progress to donors. Coordinating the roles of legal, the Consortium and the Trustee is critical to ensuring that the process proceeds smoothly.

In 2011, the Fund Office shepherded 12 Consortium Performance Agreements (CPAs) for CRPs, the Genebank and Funding Stability into fully executed agreements, a major requirement before any funds can flow. Information on the status of each CRP and amount of funds in Window 2 are made available through the weekly issue of the "State of Play of CRPs" to ensure that all the parties are fully informed, on the same page, and moving in the same direction for a more efficient process. The "State of Play" facilitates any follow-up action or the issuance of payment requests, as appropriate.



## **Evaluation**

The Fund Office revised the "*CGIAR Policy for Independent External Evaluation*" and it was approved by the Fund Council on February 2, 2012.

On February 17, 2012 the Fund Office shared with CGIAR donors two draft notes prepared by the design consultants for the Independent Evaluation Arrangement: A Guidance Note on Independent External Evaluation of CGIAR Research Programs, and a Guidance Note on Independent External CGIAR System-wide Evaluation.

## **Search and Selection Process for Head of the Independent Evaluation Arrangement (IEA)**

There has been substantial progress since FC6 in November 2011. The terms of reference for the Head of IEA and the search and selection process note have been finalized, and the vacancy announcement will soon be posted by FAO. The announcement will also be posted on the CGIAR Fund website and advertised in a widely-circulated periodical. On February 9, 2012, the Fund Council Chair announced the composition of the Search and Selection Committee. Co-chaired by Ann Tutwiler, FAO Deputy Director-General for Knowledge, and Jean Lebel, Director of Agriculture and Environment, International Development Research Centre (IDRC), the committee has the following members: Carl Hausmann, Vice Chair of the CGIAR Consortium Board and Managing Director of Global Government and Corporate Affairs, Bunge; Monty Patrick Jones, Executive Director of the Forum for Agricultural Research in Africa (FARA) and Chairman of the Global Forum on Agricultural Research (GFAR); Armand Evers, Senior Advisor, Department of Research and Education, Netherlands Ministry of Foreign Affairs; Christopher Gerrard, Global Programs Coordinator, Independent Evaluation Group (IEG), World Bank; and Robert Moore, Director of Office of Evaluation, FAO.

## **ISPC Fund Use Agreement (FUA)**

The FUA sets out certain terms and conditions for the use of monies from the CGIAR Fund by FAO, acting on behalf of the ISPC, with respect to periodic work plans and budgets submitted by the ISPC and approved by the Fund Council. The Fund Office coordinated with legal, the Trustee, and FAO to finalize the document, which was approved by the Fund Council on January 10, 2012 and signed by all parties. The ISPC FUA covers the ISPC 2011 work plan and budget.

## **Appointment of a New Chair of the ISPC Standing Panel on Impact Assessment (SPIA)**

On February 2, 2012 the Fund Council endorsed the ISPC Chair's recommendation for the appointment of Douglas Gollin as new SPIA Chair. Douglas Gollin is Professor of Economics at Williams College in Williamstown, Massachusetts. The ISPC chair was informed of the Fund Council's endorsement and was advised to proceed with the appointment process for Professor Gollin.

## **Consortium Fund Use Agreement**

The Fund Council approved the Consortium Fund Use Agreement on February 2, 2012, and it is being processed for signature by all parties.

## **CGIAR Harmonized Reporting**

The Fund Office organized teleconferences on January 31 and February 14, 2012 with the Consortium Office and donors to discuss the revised draft paper on harmonized reporting. Following input from donors, the Consortium Office has prepared a draft document that will be discussed at the FC7 Meeting. The donor group includes representatives from Australia, Bill & Melinda Gates Foundation, Canada, EC, IDRC, Japan, the Netherlands, Norway, Switzerland, United Kingdom, United States, and the World Bank.

## **CGIAR Principles on Intellectual Assets**

Seeking to build on the results of the meeting held in Rome after FC6, the Fund Office coordinated the preparation of several versions of the CGIAR Principles with the Consortium and a number of donors with

inputs from their respective legal advisers. Teleconferences were held on November 22, 2011, and on January 12, January 24, and February 15, 2012. A discussion and decision on the final draft is expected during the FC7 Meeting.

### **Proposed Efficiency Measures**

Since the Rome meeting, the Fund Office has been working with the Consortium Office and the ISPC on developing a common set of efficiency measures that will be discussed with the Peer Review Team.

### **Fund Council Representation at Consortium Board Meetings**

Following the last CGIAR Consortium Board meeting in Cali, Rob Bertram (USA) completed his term as Fund Council observer to the Board, and this role was conferred to Alan Tollervey (UK) by the Fund Council Chair following consultations with a number of Council members. Alan will attend the next Consortium Board meeting, to be held on February 28-29 in Montpellier, and the Executive Secretary of the Fund Council will also participate as an invited observer.

### **International Status of the Consortium**

In February 2012, the Consortium and Fund Office staff discussed in Montpellier the International Status of the Consortium, including efforts to get donors to sign the agreement. With the Consortium Office taking the lead, the Fund Office helped to engage Mexico, Egypt, Nigeria, the Netherlands, Denmark, Morocco, India and Germany. On February 17, 2012, confirmation on signature was received from Denmark, guaranteeing that the Consortium will achieve its international status. Additional signatories will be sought, as many other donors are interested in showing their support for the Consortium.

### **Recovery of 2011 System Cost**

One of the important features of the reform is the sharing of system level cost among all donors of the CGIAR. A mechanism on how the cost will be calculated was approved at the Funders Forum in July 2010. Based on that mechanism, the Cost Sharing Percentage for 2011 was calculated at 2% of funding. 2011 is the initial year of implementation for this key milestone in the history of CGIAR. Of the total system cost of \$14.3 million, only 60% is expected to be funded from CSP recovery due to the availability of other sources of financing that covered the remaining 40%. The results for 2011 (see Table 2) show that of the \$8.65 million (or 60%) expected to be financed from recovery of the CSP, \$8.60 million was recovered, leaving \$50,000 under recovery.

**Table 2**  
**Analysis of System Costs and recovery for 2011**

System Entities	Budget	Financing	
		Thru CSP	Other
Fund Office	3.50	3.50	
Consortium	6.07	1.78	4.29
ISPC	3.85	2.49	1.36
Trustee	0.88	0.88	
<b>Total</b>	<b>14.30</b>	<b>8.65</b>	<b>5.65</b>
Actual CSP recovery, from the CGIAR Fund			
	Funding Received	CSP (2%)	
W1	254.04	5.08	
W2	52.54	1.05	
W3	65.75	1.32	
<b>Total</b>	<b>372.34</b>	<b>7.45</b>	
Estimated recovery from Bilateral donors, excluding legacy projects		1.15	
<b>Over (under) recovery of System Costs</b>		<b>(0.05)</b>	

### Cost Sharing Percentage (CSP) for 2012

Using the same mechanism, the CSP for 2012 is calculated to be 2% (see Table 3), meaning that all contributions to the CGIAR, whether through the Fund or directly to Centers, will provide 2% of the funding received to cover the cost of all system entities. In 2012, with less access to other sources of financing, 88% of the system cost is expected to be financed through the Cost Sharing Percentage. The percentage remains at 2% despite the increase in the funding base because other system entities, such as the Independent Evaluation Arrangement, which was not yet in place in 2011, will begin to operate and incur costs in 2012. Likewise, GCARD did not form part of the system cost in 2011 but will in 2012.

**Table 3**  
**Calculation of Cost Sharing Percentage (CSP) for 2012**

System Entities	Budget	Financing	
		Thru CSP	Other <sup>1</sup>
Fund Office	3.5	3.5	
Consortium	6.9	6.2	0.7
ISPC	3.7	2.4	1.4
Trustee	0.9	0.9	
GCARD	0.8 <sup>2</sup>	0.8	
IEA	0.8 <sup>2</sup>	0.8	
<b>Total</b>	<b>16.6</b>	<b>14.6</b>	<b>2.1</b>
Estimated CGIAR 2011 Funding		720.0	
<b>CSP for 2012</b>		<b>2.0%</b>	

<sup>1</sup> "Other" under Financing represents ongoing projects with donors in the case of the Consortium, and FAO's share of funding to the ISPC

<sup>2</sup> Estimate

## **Investor Relations and Resource Mobilization**

### **Funding Predictability and Resource Mobilization Strategy**

At FC6, it was agreed that the Fund Office would prepare a draft resource mobilization mechanism “roadmap” based on the paper “CGIAR Fund: Moving towards Predictability of Donor Contributions,” which was shared and discussed in Rome. To do this, the Fund Office, acting on the advice of the Fund Council, convened a small group of donors as a sounding board for the development of the resource mobilization strategy. Given that the objective of the strategy is to secure predictable multi-year funding that would enable the Fund Council to make strategic decisions for the CGIAR, membership in the small group was determined on the basis of which donors currently provide the most predictable, untied funding to the CGIAR.

The small group—which is comprised of the UK, Sweden, Norway, the World Bank and Nigeria—convened its first meeting on February 1, 2012. The core principles of the resource mobilization strategy are: 1) a voluntary, multi-year replenishment; 2) a multi-layered replenishment approach, which allows all donors’ contributions to count towards the replenishment, regardless of whether or not they are committed for the entire replenishment; and 3) linking funding to results to create a “compact” between donors and research Centers, via the Fund and the Consortium. These principles are seen as critical to the CGIAR Fund’s ability to become the multi-year, predictable funding vehicle that is necessary to ensure research continuity and support the role of the Fund Council as the decision-making body of the Fund.

The resource mobilization strategy will allow the CGIAR to have its first replenishment meeting at the end of 2012. To get to that point, the Fund Office will submit a roadmap to the Fund Council on March 8 for its consideration. In the interim, a draft resource mobilization strategy will be submitted to all donors in advance of the donor meeting in Seattle on March 6, 2012. The outcomes of that donor discussion, plus any items that need Fund Council approval, will be presented to the Council on March 8, 2012. The Fund Office will also have consultations with Center Directors General, Board Chairs, and the Consortium Board prior to the donor meeting and Fund Council meeting in Seattle. The Fund Office will implement a work program to enable progress towards the first replenishment meeting at the close of 2012 and will continue beyond 2012 to secure: 1) increases in the amount of untied contributions from existing donors in the Fund, 2) increases in the number of donors to the CGIAR Fund, and 3) increases in the overall contributions of each donor to the Fund. These goals will persist beyond 2012 and the 2013 - 2015 replenishment periods.

### **Strategic Communications**

From February 7-10, 2012, the Fund Office and Consortium staff held meetings in Montpellier to discuss primarily strategic communications issues, but also the resource mobilization strategy, which is being developed by the Fund Office with input from the Consortium (see above). It was agreed that sharing impact stories that showcase results at the country, regional and global levels, and targeted communications and media outreach in certain regions (e.g., the Gulf) will be critical to support the resource mobilization effort.

The Fund Office and Consortium agreed that there must be alignment on communications across the system and that the Fund and Consortium Offices should lead by example. With input from the Fund Office, the Consortium will prepare a single communications strategy for the entire system, and the Fund Office will prepare a communications action plan that will be aligned with the overall strategy and support the main objectives of the Fund Office, particularly with regard to resource mobilization.

In terms of joint communications plans, Rio+20, preceded by the 6<sup>th</sup> World Water Forum in Marseille and Planet under Pressure in London, will provide an opportunity to showcase the new CGIAR, either with its own day, or as part of another theme day (e.g., landscapes) in collaboration with key partners, including the World Bank. The Fund Office is prepared to contribute to the CGIAR's presence and to support a possible dialogue

around the key investments/commitments needed from stakeholders to achieve the desired impacts from agricultural research.

The meeting in Montpellier also included discussion of, and agreement on the importance of the branding guidelines and exercise, led by the Consortium, with input from the Fund Office and others; the importance of risk scanning by both the Fund Office and the Consortium, and the need for early exchange of information ; maintenance and revamp of the CGIAR.org site, which has been transferred from the Fund Office to the Consortium; and migration and curation of the core collection, which is being managed by the Fund Office. The Fund Office and Consortium agreed to publish their respective newsletters, but with the exchange information, where appropriate; a joint CGIAR brochure; and a publication for the FC7 meeting in Seattle.

### **Donor Visits**

In December 2011, the Fund Office visited the African Development Bank (AfDB), Austria, Morocco, Spain, and the United Kingdom to strengthen relationships and to encourage participation or greater involvement in the Fund.

The Fund Office visited the AfDB in Tunis to meet with senior management and other staff. Jonathan Wadsworth made a presentation to AfDB's Committee on Development Effectiveness (CODE) in support of the African initiative "Support to Agricultural Research for Development on Strategic Crops in Africa" (SARD-SC). The AfDB is keen to be part of the CGIAR and is seriously considering becoming a Fund donor, but the \$65m for SARD-SC may have to go directly to the International Institute of Tropical Agriculture (IITA), as an Africa-based institution, and so as not to delay disbursement due to the advanced state of the proposal.

The visit provided an opportunity for the Fund Office to interact with professional and high-level staff of AfDB as a new chapter in their relationship with CGIAR starts to unfold. While at AfDB, the Fund Office also had the chance to meet with Monty Jones (Forum for Agricultural Research in Africa), the management from IITA, the International Center for Agricultural Research in the Dry Areas (ICARDA), and the Africa Rice Center (AfricaRice). Experts from these three CGIAR centers support SARD-SC.

The visit to Morocco focused on meetings with the Director General of INRA (Institut national de la recherche agronomique). Morocco is not a standard donor because INRA contributes its own budget resources to the CGIAR to take advantage of CGIAR linkages and to add to its own regional mission. Consequently, there is little prospect of increasing funding as CGIAR contributions are in direct competition with Morocco's own national programs. INRA said it would be happy to consider organizing and hosting a Middle East/Arab-CGIAR event aimed at bringing in new Arab funding.

Discussions with officials from the Austrian Development Agency provided the Fund Office with an opportunity to explain in greater depth how the Fund has performed since its inception, the involvement of some 24 donors in the Fund, the programmatic results-based approach through the portfolio of approved CRPs, eligibility for Fund Council membership, and other advantages to donors of supporting the CGIAR through the Fund. However, for the time being, Austria will continue its traditional bilateral support through its own competitive process while considering becoming a Fund donor.

On February 6, 2012, the Fund Office held discussions with Syngenta Foundation's Marco Ferroni, Executive Director, and Mike Robinson, Chief Science Advisor. The objective of the meeting was to re-engage with Syngenta, which was previously on the Executive Council and a major player in the CGIAR reform, but is not yet a contributor to the Fund. The discussion was very productive, with Syngenta indicating that the CGIAR must not lose sight of its "brand" (research) and emphasizing that delivery of improved technology to smallholder farmers must remain a major focus. The ways that Syngenta could support its areas of scientific interest

through the Fund were emphasized. Syngenta is also commenting on the IP policy of the CGIAR, which they see as very important if Private-Public Partnerships are to play an important role within the CGIAR.

On February 10, a meeting with Bernard Hubert of the Agropolis examined French-CGIAR cooperation. Agropolis mentioned their support for the CGIAR Consortium in achieving international organization status. The French are very supportive of the CGIAR and see room for expansion largely through cooperative programs and in-kind support, rather than direct funding for the CGIAR.

### **Communications to Donors**

On February 1, the Fund Office sent a letter to Fund Council members and constituent representatives to provide information on the state of the CGIAR Fund, explain the need for increased contributions to Windows 1 and 2, and ensure that Fund donors are prepared for the changes that will happen within the Fund Council in 2012. The FO also followed-up with individual letters to some donors.

### **Outreach**

Since the November 2011, the Fund Office has been involved in a number of outreach activities and events.

- From December 8-9, the Executive Secretary visited the Consortium Office in Montpellier to meet with various staff and discuss a number of issues, including the international organization status of the CGIAR; coordinating communications efforts and integrating work plans, communications products, and activities for burden sharing and synchronization; CEO recruitment; IP Principles; CGIAR involvement in GCARD II; Common Operational Framework chapters; and system costs and Cost Sharing Percentage, as well as other matters.
- On December 2, Jonathan Wadsworth gave the presentation “Remarks on Multilateralism in the New CGIAR” at the FAO-hosted celebration of the CGIAR’s 40th Anniversary. While in Rome, Jonathan had discussions with the Consortium Board Chair, the directors general of CIAT and Bioversity, and the Director of FAO’s Office for Knowledge Exchange, Research and Extension, among others.
- On December 14-15, Jonathan participated in the Chatham House conference “Food Security 2011: Transforming the Food Production System” in London and gave a presentation on “Prioritizing and Delivering Investment in Agricultural Productivity.”
- On February 2-3, Jonathan represented the CGIAR at a meeting of participants in the L’Aquila Food Security Initiative (AFSI) at the U.S. State Department in Washington, D.C. to advance mutual accountability and coordination of efforts in fulfilling AFSI commitments. Jonathan was elected to serve on the newly-constituted AFSI Working Group on Tracking Agricultural Research for Development. The objectives of the working group are to 1) provide improved data input by April for the Chicago Accountability Report, focusing on the OECD/DAC agricultural research indicators, and 2) address changes needed over the longer-term to improve tracking of global spending on agricultural research for development and related fields.

### **Collaboration with the World Bank**

Due to the World Bank’s multiple roles as Trustee, host of the Fund Office, donor, and provider of the Fund Council Chair, the Fund Office liaises between the CGIAR and the World Bank on various issues. The Executive Secretary of the CGIAR Fund Council participates regularly in meetings with management and key staff in the Agriculture and Rural Development anchor of the Sustainable Development Network Vice Presidency and represents the CGIAR and its interests. In addition, the Fund Office is involved in various outreach activities and events, including:

- From February 21-23, as part of the World Bank’s annual Sustainable Development Forum, the Fund Office staffed a booth and disseminated information and publications on the CGIAR to raise awareness of the CGIAR’s work and achievements in agricultural research for development.

- On February 23, the Fund Office sponsored a side event titled “Commission on Sustainable Agriculture and Climate Change: From Recommendations to Results” as part of Agriculture Day during the Sustainable Development Forum. Jonathan Wadsworth chaired the event and Bruce Campbell, Director of the CGIAR Research Program on Climate Change, Agriculture and Food Security (CCAFS), served as a discussant.
- The Fund Office also facilitated the participation of CGIAR personnel in other Agriculture Day events. Bruce was a speaker for the plenary sessions on “Green Growth and Climate-Smart Agriculture” and chaired a session on “Assessing the Impacts of Climate Change on Agriculture.” Carl Hausmann, Vice Chair of the Consortium Board, was a speaker for the session on “Not to Store? A Debate on the Role of Storage in Food Security Policy,” and three IFPRI researchers (Maximo Torero, Jerry Nelson, and John McDermott) also participated in Agriculture Day at the invitation of the CGIAR Fund.

### **Engagement with Mexico**

Following up on excellent relations with Mexico that were strengthened during a visit to SAGARPA by the Executive Secretary of the Fund Council and various teleconferences in 2011, the Fund Office is working this year with the Mexican government, which holds the G20 Presidency, to fast-track initiatives to increase agricultural productivity.

### **GCARD II**

The Fund Office will also prepare for and participate in GCARD II, (the second Global Conference on Agricultural Research for Development), which will be held from October 29 – November 1, 2012 in Uruguay. One of the key objectives of GCARD II is to assess progress in achieving the four system-level outcomes defined by the CGIAR’s Strategy and Results Framework. The Funders Forum is also expected to be held in Uruguay and the FO, together with the Co-Chair of the Funders Forum, Yusuf Abu-Bakar of Nigeria, will begin preparations in earnest.

### **Biotechnology and Biological Sciences Research Council**

A delegation from the Biotechnology and Biological Sciences Research Council (BBSRC) of the UK visited the Fund Office in mid-February 2012 expressing keen interest in formalizing stronger relationships for joint research work with the CGIAR. A concept note outlining a proposed international research effort to reduce the use of nitrogen fertilizers in agriculture while increasing crop production will be shared with the Consortium.

### **Australian Multilateral Assessment**

The Fund Office participated in a consultation process for the Australian Multilateral Assessment that began in mid-October 2011. It coordinated with the Consortium Office in providing comments on a draft report on the assessment of the CGIAR shared by the assessment team in late December 2011.

### **Book on the CGIAR at 40**

In the spring of 2012, the Fund Office will publish and disseminate to key stakeholders the book *The CGIAR at 40: Institutional Evolution of the World’s Premier Agricultural Research Network*.

## Annex 1: Fund Office Follow-up Actions from Previous Fund Council Meetings

COMPLETED ACTION ITEMS	
<p><b><i>Report on the Establishment of CGIAR Independent Evaluation Arrangement</i></b>  The Fund Office put forward a suggested process for the recruitment of the head of IEA, including TOR for the position and a proposed budget of IEA for FC consideration by November 2011.</p> <p><b><i>CGIAR Policy for Independent External Evaluation</i></b>  The Fund Office took ownership of the paper <i>CGIAR Policy for Independent External Evaluation</i>, and revised it on the basis of comments received and circulated to FC for approval.</p> <p>FAO has provided a timetable for the establishment of the IEA Office.</p>	
<p><b><i>2012 Work Plans and Budgets</i></b>  An annotated activity level budget s for 2012 have been provided by the Consortium Office, the Fund Office and the ISPC Secretariat prior to the Fund Council meeting.</p> <p>The Peer Review Team will review the draft efficiency measurements of system entities and budget template with annotated commentary on March 1, 2012.</p>	
<p><b><i>Report from Consortium Board Chair on the Genetic Resources Scoping Study</i></b>  The Consortium has tabled the information so that in the event an addendum to the proposal is required, it could be considered by the FC.</p>	
<p><b><i>Note on Cost Sharing Percentage (CSP) to cover System Costs</i></b>  Consortium has prepared a more detailed paper for the March 2012 FC meeting on how to collect the CSP amount from bilateral donors, and has put forward a work plan on how the CSP is captured, reflected and processed.</p>	
ACTION ITEMS IN PROGRESS	
<p><b><i>Common Operational Framework</i></b>  An explanatory note on the COF will be sent by the Fund Office, including the status of the COF chapters and the process.</p> <p>The chapter on intellectual assets is expected to be finalized and ready for FC's approval at its November meeting.</p>	<p>IA Principles and Harmonized Reporting included in FC7 as discussion/decision items.</p>



<p><b><i>CGIAR Fund: The Way Forward on Predictability of Donor Contributions</i></b></p> <p>The Fund Office will consult with a sub group of FC members as advised by the incoming Chair, which will prepare a think piece that would be considered further at the next FC meeting. The think piece would a general paper based on inputs provided by FC members to move towards predictability of funding – whether based on projections or on firm amounts.</p>	<p>In Progress</p>
<p><b><i>GCARD II Proposal</i></b></p> <p>GFAR was requested to put forward, in consultation with the Consortium, a new proposal that takes on board innovative ways of doing business, outreach and communications and combine them in a scaled-down face-to-face meeting.</p>	<p>Proposal to be sent to FC for approval.</p>
<p><b><i>Management and selection process for CGIAR Awards should remain with the Fund Office</i></b></p> <p>Fund Office will hold further consultation with FC members on who should coordinate the nomination and selection process and the conferment of the awards.</p>	<p>Funding mechanism to be agreed upon.</p>

**Annex 2: Donor Types in 2011**  
For the period January-December 2011

Predominantly W1/W2 donors	W 1/W2/W3 donors	Predominantly W3 donors	Traditional CGIAR Members not yet using the Fund
			ADB
			AfDB
			Arab Fund
			Austria
			Belgium
			Brazil
			Canada
			Colombia
			Cote d'Ivoire
			Egypt
			European Commission
			FAO
Denmark		Australia	Ford Foundation
France		China	Germany
IDRC		Bangladesh	Gulf Cooperation Council
Korea	Finland		IDB
Netherlands	Ireland	Bill & Melinda Gates Foundation	IFAD
New Zealand	Italy		India
Nigeria	Portugal	Luxembourg	Indonesia
Norway	Switzerland	Morocco	Iran
Spain	United States	South Africa	Israel
Sweden		Thailand	Japan
United Kingdom		Turkey	Kellogg Foundation
World Bank			Kenya
			Malaysia
			Mexico
			OPEC Fund
			Pakistan
			Peru
			Philippines
			Rockefeller Foundation
			Romania
			Syngenta Foundation
			Syria
			Uganda
			UNDP
			UNEP
N=12	N=6	N=9	N=37

Note:

**Russian Federation** has 65% of its 2011 contribution in Provisional Account.