



Fund

## **Fund Council**

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### ***WORKING DOCUMENT***

*Independent Evaluation Arrangement (IEA)  
2013 Financial Report*

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Independent Evaluation Arrangement (IEA)*



# Independent Evaluation Arrangement (IEA)

## 2013 Activity and Financial Report

April 2014

## Section 2 – 2013 Financial Report

### Financial Report

Table 1 summarizes the expenses incurred in 2013 against the approved budget for 2013.

### Request for carry-over

The IEA's 2013 Work Program and Budget for 2013 was approved on 06 March 2013 but funds were received on 21 May 2013. The delay in receiving the funding for 2013 was due to a series of financial issues that, however, led to a delay in initiating the first IEA evaluation, the CRP-FTA, initially scheduled to begin in January 2013.

Implementation Activities for CRP-FTA started in June 2013 with the recruitment and first meeting of the Team Leader and all Team Members: during this briefing mission the programming of the evaluation and of the missions took place. However it was only during the first evaluation mission in September/October 2013 that it became evident the schedule for the whole evaluation had to be revised. In fact, the second evaluation mission, scheduled to take place by end of October – beginning of November, had to be postponed to December 2013, as further tasks related to data collection, desk analysis and surveys were needed to well prepare the evaluation mission in Africa and to include additional field visits.

The third mission, initially scheduled for December 2013, took place in February 2014 and the final part of the evaluation (review of all the team end and products, final interviews, surveys and final report) will be completed by June 2014, and an *ad-hoc* budget was allocated from the 2014 budget for the finalization of the evaluation.

For the reasons outlined above, the IEA requests that an amount of USD 85,000 be carried forward to 2014 from the balance of the 2013 budget to allow IEA to cover the 2013 commitments for CRP-FTA. The remaining 2013 budget after payment of the commitment for CRP-FTA will then be returned to the CGIAR Fund.

# IEA 2013 Activity and Financial Report

Table 1: 2013 CGIAR IEA Financial Situation

Expense Item	2013 Budget	2013 Expenditures	2013 Expenditures posted in 2014 (6)	Total 2013 and 2014	Balance for 2013	Balance for 2013 (%)
<b>Core activities</b>						
1. Evaluations (1)	400,000	443,730	85,000	528,730	-128,730	-32%
2. Policy Standards, Guidelines	10,000	15,017		15,017	-5,017	-50%
3. Evaluation Capacity Development	100,000	99,683		99,683	317	0%
4. Facilitation of Evaluation Follow-up and Learning	-			-	0	
5. Quality Management and Assurance	15,000	21,372		21,372	-6,372	-42%
6. Collaborative	-			-	0	
<b>Sub-Total</b>	<b>525,000</b>	<b>579,802</b>	<b>85,000</b>	<b>664,802</b>	<b>-139,802</b>	<b>-27%</b>
<b>Personnel inputs</b>						
Staff						
Professional (2)	385,000	345,958		345,958	39,042	10%
Administrative support (3)	115,000	43,187		43,187	71,813	62%
Consultants (4)	220,000	138,542		138,542	81,458	37%
<b>Sub-Total</b>	<b>720,000</b>	<b>527,687</b>		<b>527,687</b>	<b>192,313</b>	<b>27%</b>
<b>Total FTE</b>	<b>1,245,000</b>	<b>1,107,489</b>	<b>85,000</b>	<b>1,192,489</b>	<b>52,511</b>	<b>4%</b>
<b>Travel (5)</b>	<b>100,000</b>	<b>50,924</b>		<b>50,924</b>	<b>49,076</b>	<b>49%</b>
<b>Operating Expenses</b>	<b>50,000</b>	<b>58,254</b>		<b>58,254</b>	<b>-8,254</b>	<b>-17%</b>
<b>Overhead charges</b>	<b>-</b>	<b>-</b>		<b>-</b>	<b>-</b>	<b>-</b>
<b>Sub-Total</b>	<b>150,000</b>	<b>109,178</b>		<b>109,178</b>	<b>-</b>	<b>0%</b>
<b>TOTAL</b>	<b>1,395,000</b>	<b>1,216,667</b>	<b>85,000</b>	<b>1,301,667</b>	<b>93,333</b>	<b>7%</b>

(1) Evaluations: includes FTA evaluation and CRP Government and Management Review;

(2) Professional: costs of Senior Officer was budgeted for six months, however the Staff Member was recruited only in October 2013;

(3) Administrative support: costs of Administrative Assistant was budgeted for nine months, however the Staff Member was recruited in July 2013;

(4) Consultants budget foresaw recruitment of long-term consultants for twelve months, whereas long-term consultants to support the Evaluations and the Unit were recruited in the middle/last part of 2013;

(5) Travel: Budget allocated foresaw travels of all Personnel for 12 months;

(6) Figure for 2013 expenditures posted in 2014 have been rounded as some travel costs have yet to be finalized.