



10th CGIAR FUND COUNCIL MEETING

November 6-7, 2013

Nairobi, Kenya

ANNOTATED PROVISIONAL AGENDA

(Oct 18, 2013)

Wednesday, Nov 6, 2013

Agenda Item 1. Opening Session

13:30 – 13:40

The meeting will be opened by the **CGIAR Fund Council Chair Rachel Kyte**. A Co-Chair will be nominated and elected for the meeting.

FC Chair will ask if there are requests for any other business for inclusion in the meeting agenda.

As per the FC Rules of Procedure, members should reveal any **conflict of interest** regarding Fund Council business and agenda items.

Action Requested: The Fund Council is invited to **elect** a meeting co-chair and **adopt** the meeting agenda.

Agenda Item 2. Reports from Fund Office and Consortium

FOR ADOPTION

13:40 – 14:10

The FO and Consortium have provided written reports covering activities and results since FC9. FC members would have read these reports (as well as the rest of the FC10 documents), hence there will be no oral presentation of the reports. Jonathan Wadsworth (FC Exec Secretary and Head of Fund Office) and Frank Rijsberman (CEO of Consortium) will respond to questions/comments, if any.

Action Requested: The Fund Council is requested to **adopt** the Fund Office and Consortium reports.

Agenda Item 3. Work Programs and Budgets of System Entities, PRT Report and Special Initiative

14:10 – 14:40

System Cost Addendum

FOR DECISION

(a) 2014 Workplans and Budgets

- Fund Office
- Consortium
- ISPC
- IEA
- Trustee

(b) Report from Peer Review Team (PRT)

(c)

(c) Special Initiative System Cost

The 2014 work programs and budgets of the system entities are available for FC's perusal. The IEA has submitted a Rolling Evaluation Work Plan (REWP) covering the period 2014-2017. The heads of the system entities will respond to questions/comments.

Approved at FC3, the collective peer-review process is aimed at ensuring that similar financial rigor and prudent use of public funds is applied to systems costs as is expected of CRPs. The peer review team (PRT) is composed of two donor members of the Fund Council, Philip Chiverton (Sweden) as convener and David Bergvinson (BMGF), and all heads of system entities: Frank Rijsberman (CO), Jonathan Wadsworth (FO), Peter Gardiner (ISPC Secretariat) and Rachel Bedouin (IEA).

Philip Chiverton will report to the Fund Council on PRT’s deliberations on the work plans and budgets of the system entities.

An addendum to the Common Operational Framework (COF) chapter on CGIAR Non-Research System Cost Financing is proposed to meet emerging needs in the CGIAR, i.e. to distinguish a special initiative system cost from the core system cost. Special Initiative systems costs are one-off, time-bound, potentially multi-year project-based expenses needed for activities that go beyond regular, ongoing administrative support and may extend across fiscal years in line with the Initiative. They are described in greater detail in the addendum document. An example of a special initiative system cost is the funding support for the ISPC/SPIA program “Strengthening Impact Assessment in the CGIAR (SIAC)” which has been approved by the Fund Council.

Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is **requested to discuss and decide** on the: a) individual work programs/plans and budgets of the system entities, and b) proposed addendum to the COF Chapter on CGIAR Non-Research System Cost Financing.

Agenda Item 4. Gender Mainstreaming in CRPs
14:40 – 15:40

FOR DECISION

In response to Fund Council's request at FC9, the Consortium commissioned a study to assess the status of gender mainstreaming in the CRPs. In its response to the findings and recommendations of the assessment, the Consortium described a number of actions being or soon-to-be undertaken by the Consortium Office and the CRPs. These documents provided the background for drafting an action plan based on a strategy aimed at improving CGIAR's performance on gender. All three documents have been shared previously with FC for comments. The final version of the action plan as endorsed by the Consortium Board is presented for consideration at this meeting. ISPC’s commentary on the action plan is also available for the purpose of the discussion.

Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is **requested to discuss and decide** on the Consortium action plan for strengthening gender research in the CRPs.

15:40 – 16:00

BREAK

Agenda Item 5. Governance Matters
16:00 – 17:00

(a) CGIAR Governance Review Phase 2 Report

FOR DECISION

Jointly commissioned by the Fund Council and the Consortium, phase 2 of the governance review is aimed at assessing compliance with internal governance policies and main CGIAR agreements at all levels. The review was undertaken by PricewaterhouseCoopers and Meridian Institute. The review report was discussed by the Reference Committee for the review chaired by **Rob Bertram**, and subsequently considered by the FC

Governance Committee (FCGC). On behalf of the FC Governance Committee, **Alan Tollervey** will present the committee's suggestions on how FC should approach each of the recommendations including use as input to the Mid-Term Review (MTR) of the CGIAR reform.

Action Requested: FC is invited to **provide their comments** on the governance review report and **decide** on the FCGC's suggested approach on the review recommendations.

(b) GCARD 3 Proposal

FOR DECISION

A proposal for GCARD3 was submitted by GFAR and discussed at the 13th meeting of the Consortium Board (CB). In its decision, the CB supported a GCARD3 that will review the progress of the CRP to date and provide a key input into the second call for the CRPs. The Consortium is "willing to co-organize with GFAR if the roles and responsibilities can be clearly agreed."

GFAR has subsequently submitted a revised proposal for discussion at this meeting. **Jonathan Wadsworth** will frame the discussion.

Action Requested: FC is invited to **provide their comments** and **decide on the funding request** for the proposed GCARD3 process/event.

Agenda Item 6. Report on implementation of CGIAR IA Principles

FOR ADOPTION

17:00 – 17:30

The Consortium submitted the first CGIAR IA Report in accordance with the provision of the CGIAR Principles on the Management of Intellectual Assets. It is a synthesis report on the implementation of the IA principles by CGIAR centers in 2012.

The FC IP Group, which was created to facilitate coordination between FC and the Consortium on the implementation of the IA principles and to serve as an advisory body to enable FC to provide oversight of IA management, held a meeting in Montpellier on July 31-Aug 2 to review and discuss the individual center IA reports and the consolidated report prepared by the Consortium Office.

Frank Rijsberman will provide a brief overview of the CGIAR IA report followed by a report from the convener of the FCIP Group.

Action Requested: The Fund Council is requested to **discuss and adopt** the CGIAR IA Report.

19:30 - Dinner hosted by the Director General of the World Agroforestry Centre

Thursday, Nov 7, 2013

**Agenda Item 7. Strategic Issues: Integrating Delivery in the CGIAR
08:30 – 10:00**

FOR DISCUSSION

This session provides an opportunity for the Fund Council to engage in a strategic discussion of key issues in agricultural research for development. The discussion will focus on issues around the theme of integrating in CGIAR work the delivery of outputs to clients. A key objective is to better understand what the role of delivery should be across the CGIAR.

Jonathan Wadsworth will frame the discussion based on a paper prepared for this session. The framing paper proposes the following questions for discussion:

- a) How can the CGIAR better deliver its research to the field and what model(s) should it employ?
- b) Should the CGIAR focus only on research and continue to rely on external partners for delivery?
- c) How could the CGIAR employ a more systematic strategy for delivery of its research through either external partners or internally across the organization?
- d) How should the CGIAR fund initiatives related to delivery?
- e) What entity should administer the CGIAR's delivery initiatives?
- f) Does incorporating delivery require a reorganization of the CGIAR's structure or strategy?
- g) How should CGIAR measure and be accountable for its impact on delivery?
- h) Should the CGIAR modify its planning and fund allocation process to incorporate delivery?
- i) What is the potential for donors to the CGIAR to better link with and exploit CGIAR R&D activities and products in their bilateral national/regional rural development programs and investments? How could this be made more systematic, institutionalized, and less ad hoc and opportunistic?

The discussion will be preceded by brief presentations/comments from the following invited speakers who will provide CRP/Center, private sector partner, and donor perspectives on the issues:

- Tony Simons (ICRAF)
- John MacRoberts (CIMMYT)
- Ian Barker (Syngenta Foundation for Sustainable Agriculture)
- David Bergvinson (BMGF)

Action Requested: FC members are requested to **share their views on the issues and provide ideas** on action that CGIAR may take.

**Agenda Item 8. (a) Foresight Study: Urbanization and Farm Size
10:00– 10:30 (b) Remarks from ISPC Chair**

FOR DISCUSSION

The synthesis paper on "Trends in Urbanization and Farm Size in Developing Countries: Implications for Agricultural Research" commissioned by the ISPC was previously shared with the Fund Council for information and reference. The foresight study was intended to provide input and background information for the Strategy and Results Framework Action Plan. Ken Cassman will provide a brief commentary and frame the discussion.

As the second part of this agenda item, Ken Cassman, the outgoing ISPC Chair, will be invited to share his reflections on the role that ISPC has played in the past three years and parting advice to the system going forward.

Action Requested: FC members are invited to **share their comments** on the foresight study, and also their views on how CGIAR research should address the findings in its current and future work.

10:30 – 11:00

BREAK

Agenda Item 9. CRP Portfolio Annual Progress Report 2012

FOR ADOPTION

11:00 – 12:00

The following reports have been shared previously with the Fund Council for feedback: a) CRP Portfolio Annual Progress Report, b) Individual CRP Progress Reports, and c) CGIAR Financial Report. Covering the period from Jan 1 to Dec 31, 2012, these reports were prepared in accordance with the templates for annual reporting for 2012 and 2013 agreed upon by the FC Working Group on Harmonized Reporting and the Consortium representatives early this year.

In submitting the progress reports, the Consortium CEO noted that much needs to be done to enable the reports "to provide the kind of accountability that the Consortium Board and the Fund Council are looking for." However, he pointed out that significant progress has been made towards that goal through the development of intermediate development outcomes (IDOs) for the individual CRPs and for the CRP portfolio as a whole.

It is the responsibility of the Fund Council to ensure that: (a) the form and substance of the reports are reasonably satisfactory; and (b) regular progress reports from the Consortium are used in part to appraise performance of the Consortium on a regular basis in meeting its contractual obligations under agreements to receive funds from the CGIAR Fund.

Initial comments received from some FC members have been forwarded to the Consortium and are also shared with the rest of the FC membership as background document for this agenda item. Further feedback will be provided at the meeting.

FC members are particularly requested to provide their assessment on the adequacy of the harmonized reporting templates being used and whether revisions are necessary to better meet their needs.

Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is **invited to discuss** and **adopt** the 2012 CRP Portfolio Annual Progress Report and the 2012 CGIAR Financial Report.

Agenda Item 10. CRP Extension and Synchronization Plan
12:00 – 13:00

FOR DECISION

In response to Fund Council's request at FC9, the Consortium submitted a note presenting a number of options for extension of the CRPs beyond the implementation period covered by their currently approved budgets. The timing for the MTR and the response time to the review's recommendations provided the primary basis for laying out various options for extension of the implementation and funding of the CRPs.

Synchronizing the development and approval of CRP proposals for the second phase is the Consortium's primary objective. The Consortium proposes to pursue the synchronization plan based on the extension proposal. The pros and cons of synchronization are described in the note.

Jonathan Wadsworth will frame the discussion.

Action Requested: FC is requested to **provide comments** and **decide** on the proposed extension and synchronization of the CRPs.

13:00 – 14:00

LUNCH

Agenda Item 11. CGIAR Open Access Policy: Concept Note on Implementation
14:00 – 14:30

FOR DECISION

Making the CGIAR an Open Access (OA) organization was one of the commitments made by the Consortium at GCARD2 in Uruguay. A key step in the process is the development and adoption of a set of policies and standards on open access. A draft OA policy was developed and shared with the Centers and other stakeholders for feedback. An advanced draft was shared with the Fund Council last July and comments were received from a number of members. ISPC also provided comments. The final policy document was approved by the Consortium Board at its meeting on Oct 2, 2013.

The Consortium has taken the next step in the process, i.e. draw up a plan for the implementation of the policy. A concept note seeking Fund support for implementation of the OA policy has been submitted for discussion at FC10.

Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is **invited to discuss** the concept note **and decide** on the preparation of a full proposal.

Agenda Item 12. 2014-2015 Financing Plan for the CRP Portfolio
14:30 – 15:15

FOR DISCUSSION

In accordance with the Joint Agreement the Consortium submitted a Financing Plan for the CRP portfolio. This year's submission has adopted a new approach, i.e. a multi-year approach that is in line with the multi-year undertaking represented by the CRPs. The Financing Plan covers a two-year period, i.e. 2014-2015.

The 2014-2015 CGIAR Financing Plan included an "unallocated" amount of \$8.0 million per year. This is intended to support in part the ICARDA investment plan should the CRPs agree to support the plan and partly the Results Based Management Pilot project by the Consortium. It is not clear however, how much will be allocated to each initiative which would help give a sense of the funding requirement.

While these initiatives would not necessarily require Fund Council approval, a revised financing plan that shows the allocation by initiatives will need to be submitted.

Action Requested: The Fund Council is **invited to comment on** the 2014-2015 CRP Financing Plan.

**Agenda Item 13. Update on Mid-Term Review of CGIAR Reform
15:15 -16:00**

FOR INFORMATION

At FC9, the Fund Council took the decision to commission a mid-term review (MTR) to examine the progress of the CGIAR reform efforts, as well as the resulting appropriateness, effectiveness, and efficiency of the overall system, and make recommendations for course correction and improvements, where necessary. It was also decided that the review of Window 3 be made part of the MTR.

The MTR will be conducted by an independent panel appointed by the Fund Council Chair and will report to the Fund Council through the Chair. The Panel will consult extensively across the international development community, including donors, CGIAR system entities and Centers, and key stakeholders and partners.

The session is aimed at providing an update on: (i) the Final TOR as agreed by the FC; (ii) Panel Selection Process; and (iii) A Roadmap of the next steps. Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is requested to **note the progress** made and may wish to **provide further guidance** on the review process.

**Agenda Item 14. Any Other Business
16:00 – 17:00**

**CLOSED SESSION
17:00 -17:30**

FC MEMBERS ONLY (PRINCIPAL REPRESENTATIVES and ONE ALTERNATE)

**Closing of FC10
17:30**