



9th CGIAR FUND COUNCIL MEETING

April 25-26, 2013

New Delhi, India

ANNOTATED PROVISIONAL AGENDA

Thursday, April 25, 2013

Agenda Item 1. Opening Session

10:00 – 10:15

The meeting will be opened by the CGIAR Fund Council Chair Rachel Kyte. A Co-Chair will be nominated and elected for the meeting.

FC Chair will ask if there are requests for any other business for inclusion in the meeting agenda.

As per the FC Rules of Procedure, members should reveal any **conflict of interest** regarding Fund Council business and agenda items.

Action Requested: The Fund Council is invited to **elect** a meeting co-chair and **adopt** the meeting agenda.

Agenda Item 2. (a) Reports of Fund Office and Consortium

(b) Amendment to FC Rules of Procedure

FOR ADOPTION

10:15 – 10:30

The FO and Consortium have provided written reports covering activities and results since FC8. FC members would have read these reports (as well as the rest of the FC9 documents), hence there will be no oral presentation of the reports. Jonathan Wadsworth (FC Exec Secretary and Head of Fund Office) and Frank Rijsberman (CEO of Consortium) will respond to questions/comments, if any.

FC members from the European constituency have suggested that the Head of IEA be invited as observer in FC meetings. Jonathan Wadsworth will formally present a recommendation for the Head of IEA to have a standing invitation to attend Fund Council meetings as observer, thus joining the ISPC Chair, Chair of the Consortium Board, CEO of the Consortium and a representative of the Trustee in that capacity. This calls for an amendment of Section 3, Paragraph 4 of the FC Rules of Procedure.

Action Requested: The Fund Council is requested to **adopt** the Fund Office and Consortium reports, amendment to Section 3, Paragraph 4 of the FC Rules of Procedure, and **endorse** the participation of the Head of IEA as standing observer in FC meetings.

Agenda Item 3. 2012 Scorecards and Financial Reports of FO, CO, ISPC, and IEA

FOR APPROVAL

10:30 – 10:45

The Peer Review Team (PRT) has discussed the scorecards and financial reports in detail before FC9. Philip Chiverton, convener of the PRT will report on the Team's deliberations and will frame the key points for discussion. The Fund Office and the ISPC have submitted both 2012 Financial Reports and Scorecard Reports, while the Consortium and IEA have submitted their 2012 Financial Reports. From 2013 both the Consortium and IEA will also be submitting Scorecard Reports.

Action Requested: The Fund Council is requested to **approve** the scorecard reports and financial reports.

Agenda Item 4. Update on IITA

FOR DISCUSSION

- a) **Forensic Audit**
- b) **IITA Monitoring Report**

10:45 – 11:15

The report of an IITA Forensic Audit commissioned by the European Commission (EC) and undertaken by the accounting firm PricewaterhouseCoopers has been discussed by the FC Governance Committee. Bernard Rey, EC's representative, will frame the discussion in the FC meeting.

At FC8 it was agreed that the resumption of W1/W2 funding to IITA would be conditional on IITA and the Consortium committing to monitor the implementation of the center's Financial Recovery Plan, including a periodic monitoring visit by Consortium Office Finance staff to IITA and regularly updating the Fund Council on the action points that IITA needed to take. The latest IITA Monitoring Report is available for notation by the Fund Council. Jonathan wadsworth will provide a brief background.

Action Requested: The FC is requested to **note** both the IITA Forensic Audit report and the IITA monitoring report.

Agenda Item 5. Update on Governance Review – Phase 1 & 2

FOR DISCUSSION

11:15 – 12:00

At FC8, it was agreed that a CGIAR Governance Review would be jointly commissioned by the Fund Council and the Consortium, which was brought forward as a consequence of events at IITA. A Reference Committee composed of two representatives each of the FC and Consortium, the Executive Secretary of the Fund Council, and the CEO of the Consortium, was created to oversee the Governance Review. Phase 1 of the review was undertaken by a team of three independent consultants. The review report submitted by the team has been discussed by the Reference Committee. Rob Bertram, Chair of the Reference Committee, will frame the discussion in the FC meeting. The Consortium will give an update on actions being taken in response to the Phase 1 review recommendations.

Phase 2 of the Governance Review would assess compliance with internal governance policies and main CGIAR agreements at all levels. Rob Bertram will provide an update on the status of Phase 2 of the review. A proposal was received from the consultants, PricewaterhouseCoopers and Meridian Institute, in response to a request from the Consortium Office. This proposal and the consultants' response to queries from Reference Committee are shared with the Fund Council for comments.

Action Requested: FC is requested to **take note** of the Governance Review Phase 1 Report. It is also requested to **provide comments** on the content and approach for Phase 2 of the Review described in the proposal submitted by the consultants.

Agenda Item 6. Draft TOR for Independent Review of Window 3 of the CGIAR Fund FOR APPROVAL
12:00 – 12:30

The CGIAR Fund Governance Framework states that Window 3 is transitional and stipulates that after two years following establishment of the CGIAR Fund, the Fund Council, in consultation with the Consortium, will review Window 3. The CGIAR Fund has now been fully operational for two years (2011 and 2012) and therefore Window 3 is subject to review in 2013.

The FC Governance Committee and the Consortium representatives (Frank Rijsberman and Emile Frison) have provided comments that have been incorporated in the draft TOR for the independent review. To be framed by Jonathan Wadsworth, the discussion will focus on the draft TOR of the review rather than on possible outcomes of the review.

Action Requested: The Fund Council is requested to **approve the TOR of the Independent Review of W3.**

Agenda Item 7. Proposal for a Performance Scheme to Promote Gender Integration into CGIAR Research FOR APPROVAL
14:00 – 14:45

At FC8, the Consortium provided an update on cross-cutting issues indicating substantial progress particularly on gender research. A key focus of the work is mainstreaming the gender strategies that the CRPs have developed. However, the Consortium pointed out that "most CRPs have not allocated funds in their budgets and some have very limited capacity." To address this, it brought up the idea of a Window 1 allocation, "a gender performance scheme" orchestrated at the Consortium level, to provide incentives for rapid and effective gender mainstreaming.

In Feb 2013 the Consortium submitted a proposal to FC for virtual approval on a 'no-objection' basis. However, a number of concerns/questions raised by U.S. and Canada **precluded a decision to approve**. A list of those concerns/questions and corresponding responses from the Consortium are included as part of the background documents for this item. As per the FC Rules of Procedure, the FC Chair added the matter to the provisional agenda of FC9. The Feb 2013 Consortium proposal (**without revision**) is included for this agenda item. Jonathan Wadsworth will frame the discussion in the FC meeting.

Action Requested: The FC is requested to **deliberate further and decide whether to approve** the proposal and **allocate** \$5m in 2013, \$10m in 2014 and \$10m in 2015 from Window 1 to the Consortium.

Agenda Item 8. Request for a CGIAR Fund Allocation to System-wide Capacity Strengthening Activities FOR APPROVAL
14:45 – 15:30

As part of its update on cross-cutting issues at FC8, the Consortium proposed developing CRP strategies and encouraging mainstreaming of capacity strengthening in all CRPs. It suggested that a system-wide approach

of some actions such as a post-doc program and visiting fellows program could achieve efficiencies and be “quick-wins”. FC members suggested that further thought is needed to arrive at the right way of addressing capacity strengthening across CRPs.

The Consortium plans to develop a program on system-wide capacity strengthening activities, which would be implemented by CRPs, Centers and partners. It has submitted a request for allocating \$3.5 million to cover the following system-wide capacity strengthening activities in collaboration with partners in year 1: AWARD fellowships, BecA fellowships, Africa-Brazil Innovation Marketplace, Post-Doc Program, Visiting Scientist Program, and Research Management Training. Also, a key output in 2013 is the completion of a draft CGIAR Capacity Strengthening Strategy. If approved, the Consortium will develop a detailed program proposal on system-wide capacity strengthening activities with CRPs and partners, and will submit it to the Fund Council for additional funding.

Jonathan Wadsworth will frame the discussion.

Action Requested: The FC is requested to **deliberate and decide whether to approve an allocation** of \$3.5 million from Window 1 to the Consortium for undertaking several system-wide capacity strengthening activities in year 1, and for developing a detailed program proposal on those activities.

Agenda Item 9. Proposal on W1/W2 Share of CRP 3.7 Budget
15:30 – 16:00

FOR APPROVAL

The CGIAR Research Program on Livestock and Fish (CRP 3.7) was approved in July 2011; however, implementation started in Jan 2012. Donors contributing to CRP3.7 in Window 2 demonstrated greater than expected support to the program. Based on the first year of the program, W2 commitments alone are expected to exceed the approved \$35.2 million W1/W2 budget. On the other hand, the program began with a large shortfall in W3/bilateral funding.

As per the CGIAR Joint Agreement, when Fund Donor contributions for a CRP in W2 reach the maximum W1/W2 budget level, the Trustee would stop accepting contributions in W2 for that CRP, unless there is an amendment to increase the W1/W2 budget line.

Jonathan Wadsworth will frame the discussion.

Action Requested: The Fund Council is requested to **approve** the Consortium’s proposal for an increase in the share of W1/W2 funding for CRP3.7 from the current 35.3% (\$35.2 million) to 50% (\$49.8 million) while keeping the total 3-year budget constant at \$99.6 million

Agenda Item 10. Proposal for 2013 GCP Transition Financing
16:15 – 17:00

FOR APPROVAL

The Generation Challenge Program (GCP) was launched in 2004. It is the only challenge program not yet integrated in the CRP portfolio. FC approved Window 1 funds to support GCP in 2011 through the Stability Funding and in 2012 through the Transition Financing. Consortium is requesting \$5.5 million transition financing in 2013 to complete the implementation of the GCP's exit strategy.

GCP expects funding from the European Commission (EC) in CY2013 for an amount of \$8 million; however, EC funding is likely to be disbursed towards the end of CY2013. GCP management has informed the Consortium that if it does not have assurances of funding availability (including \$8 million from EC) by June 2013, it will have to inform its partners that it will prematurely wind down and cut back on its planned activities. It further pointed out that this premature termination would result in a loss of outputs valued well above the amount requested because past investments are expected to mature in these final stages of the program.

Jonathan Wadsworth will frame the discussion.

Actions Requested:

- (a) FC is requested to **approve an allocation of \$5.5 million** from Window 1 for the Generation Challenge Program (GCP) in 2013 to support ongoing activities and related administrative costs; and
- (b) FC is also requested to **approve an additional allocation of \$8 million** from Window 1 as "backup funding" to GCP in 2013, which will only be disbursed if the EC support for GCP in 2013 does not materialize. GCP's lead center, CIMMYT, will be able to pre-finance, if needed, with this backup funding from Window 1.

Agenda Item 11.

a) Proposal for Synchronization of End-dates of Current CRP Contracts

FOR APPROVAL

b) Exploratory Ideas for CRP 2nd Call

FOR DISCUSSION

17:00 – 17:45

To enable a portfolio wide assessment of proposals for the next stage of CRPs, the Consortium Board has indicated that it is in favor of synchronizing the end dates of the current CRPs to December 31, 2014. If the end dates of the current 15 CRPs are synchronized, there will be an opportunity to develop a coordinated set of proposals for a CGIAR portfolio funding cycle 2015-17. The synchronization proposal will require renegotiating the current contracts of CRPs. For three CRPs this would mean a 6-month extension while for six others it would mean a 6-12 month reduction of their current contracts.

The Consortium's document also includes a section on exploratory ideas for CRP 2nd call. The topic will also be discussed during the Workshop on Theory of Change.

Actions Requested:

- a) FC is requested to **approve** the Consortium's proposal for synchronization of end-date of current CRP contracts; and
 - b) FC is also requested to **provide comments** on the Consortium's exploratory ideas for CRP 2nd call.
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**Agenda Item 12. ISPC/SPIA's Expanded Work Program and Budget
17:45 – 18:30**

FOR APPROVAL

The SIAC (Strengthening Impact Assessment in the CGIAR) Program proposal has been prepared by the Standing Panel on Impact Assessment (SPIA) of ISPC, which seeks to secure funding for the SPIA to continue and improve on its functions of sponsoring, implementing, and promoting high-quality impact assessment within a revitalized CGIAR. The program is over 2013-2015 period.

The four objectives of the SIAC Program are: 1) develop, pilot and verify innovative methods for collection and assembly of diffusion data; 2) institutionalize the collection of the diffusion data needed to conduct critical CGIAR impact evaluations; 3) assess the full range of impacts from CGIAR research; and 4) support the development of communities of practice for *ex-post* impact assessment.

The total budget of the SIAC Program is about \$12 million over three years. A bilateral funding agreement has been signed between BMGF and CGIAR Consortium for an amount of US\$4.99 million for which the Consortium provides overall administrative and logistical support and financial accountability to the BMGF. In addition, the SIAC budget expects \$4.57 million from Window 1, \$1.29 million from bilateral support and \$1.06 million from ISPC/SPIA core resources. ISPC/SPIA will provide core support to the Program Steering Committee (PSC) on technical issues. The Consortium, jointly with the PSC, will ensure effective management of the project.

ISPC/SPIA is now requesting \$4.57 million for three years (2013 -2015) for the SIAC Program from Window 1.

Jonathan Wadsworth will frame the discussion on the SIAC Program and the arrangement for program management and oversight.

Action Requested: FC is requested to **approve an allocation** of \$4.57 million for three years (2013-2015) from Window 1 to ISPC/SPIA for supporting key components of the SIAC Program.

Friday, April 26, 2013

Agenda Item 13. Strategic Issues 08:00 – 10:00

FOR DISCUSSION

This session provides an opportunity for the Fund Council to engage in a strategic discussion on key 'big ideas' in agricultural research for development that will boost agricultural productivity, address climate change, and promote environmental sustainability, thereby bending the arc of poverty.

- **Framing and costing the next generation of agricultural research** - Jonathan Wadsworth
- **Summary of issues from the April 24 Theory of Change workshop:** Jonathan Wadsworth will provide a summary of the outcomes from the workshop
- **Climate-Smart Agriculture:** Juergen Voegle will frame the discussion. FC discussion will follow on how the CGIAR can provide leadership in scaling-up climate-smart agriculture to address climate change and reduce poverty.
- **G8 - New Alliance and Technology Platform:** Frank Rijsberman will frame the discussion. He will provide an update on the current status of the new alliance and technology platform initiated by G8.
- **Private sector engagement in CGIAR R&D:** Marco Ferroni, Executive Director of the Syngenta Foundation has been invited by the FC Chair to attend FC9 as an observer; he will share his perspective on the subject.

Action Requested: FC members are requested to **share their views on the strategic issues in agricultural research for development and provide guidance** on approaches that concerned CGIAR entities may take.

Agenda Item 14. Investor Relations and Resource Mobilization 10:15 – 11:00

FOR DISCUSSION

Resource mobilization across the CGIAR is complicated and political, with multiple actors and initiatives. In spite of this, and in the midst of significant restructuring, it is working well. Overall funding to CGIAR continues to see double-digit growth. Since FC7 in Seattle, the Fund Office has been further developing ideas, and would like to present these ideas for discussion and comment. Some of the key questions being put forward for discussion are:

- Up until now, the Fund Council has concentrated largely on governance and science quality. What role does the FC see for itself in resource mobilization going forward?
- Are there opportunities to increase support to CGIAR, including from recoverables, underspend, etc., to close the gap to \$1 billion?
- Assuming we collectively close the gap, would a visibility and recognition event to raise the profile of CGIAR as a good investment be welcomed? If so, what type of activity would be most productive?

Jonathan Wadsworth will frame the discussion.

Action Requested: FC members are requested to **provide views** on the priorities and planning process for resource mobilization, and consider how FC members can individually and collectively support future fundraising efforts for the CGIAR.

Agenda Item 15. Revisiting GCARD
11:00 – 11:30

FOR DISCUSSION

FC members had preliminary discussions on GCARD at FC8. Rodney Cooke was commissioned by GFAR to review GCARD and provide analysis of the way forward. He made seven recommendations.

Actions Requested:

- 1) FC is invited to **provide considered views and guidance** on the way forward for GCARD from CGIAR perspective; and
 - 2) FC is invited to **request the Governance Committee** to assess the recommendations in the GCARD Review Report and, taking into account additional comments/feedback from donors and Consortium, **prepare** a brief position paper on the future of GCARD for submission to the FC by mid-July 2013.
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Agenda Item 16. Update on FC IP Group
11:30 – 11:40

FOR INFORMATION

At the request of a number of FC members, Jonathan Wadsworth will provide a brief update on the work of the FC Intellectual Property (IP) Group, its planned activities in 2013 and time line.

Action Requested: FC is invited to **take note** of the work plan of the FC IP Group in 2013.

Agenda Item 17. Any Other Business
11:40 – 12:00

Closing of FC9
12:30