



12th CGIAR FUND COUNCIL MEETING

November 4-5th, 2014

Brussels, Belgium

ANNOTATED PROVISIONAL AGENDA

Tuesday, November 4th, 2014 (Borschette-5B)

Agenda Item 1: Opening Session 8:30 – 8:40

FOR ADOPTION

The meeting will be opened by the CGIAR Fund Council (FC) Chair Rachel Kyte. A Co- Chair will be nominated and elected for the meeting.

FC Chair will ask if there are requests for any other business for inclusion in the meeting agenda and then call for adoption of the agenda.

As per the FC Rules of Procedure, members should reveal any conflict of interest regarding FC business and agenda items.

Action Requested: The Fund Council is invited to **adopt the meeting agenda** and to **elect a meeting co-chair**.

Agenda Item 2: CRP Evaluation Update 8:40 – 9:10

FOR DECISION

The Fund Council (FC) at its 10th Meeting approved the Independent Evaluation Arrangement's (IEA) Rolling Evaluation Work Plan – 2014-2017 that also set out the planned CGIAR Research Program (CRP) evaluation schedule for 2014.

The IEA has completed its first major CRP evaluation – that of the CRP on Forests, Trees and Agroforestry and additional CRP evaluations are ongoing.

The FC is invited to endorse the process proposed for the handling of CRP evaluation reports. The Fund Office will solicit FC volunteers to assist in reviewing evaluation reports in close collaboration with current Evaluation and Impact Assessment Committee (EIAC) members.

The FC is also invited to discuss the IEA's evaluation implementation plan, noting the importance that the timely completion of evaluations will have in the processing of the CRP 2nd call.

Rachel Bedouin will then present the IEA's work program as it is being implemented to support the 2nd CRP Call and the process for handling the CRP evaluations.

Action Requested: The Fund Council is invited to **approve** the CRP Evaluation Process for Finalization, Feedback and Decision-making. The Fund Council is invited to **note and discuss** the IEA's CRP Evaluation Update that lays out the expected timeline for completion of all outstanding CRP evaluations.

Agenda Item 3: CRP Extension Proposals

FOR DECISION

09:10 – 11:00

At FC10, the Fund Council (FC) approved the plan for the extension to the end of 2016 of eligible CGIAR Research Program (CRP) contracts. Such an approach would require amendments to the relevant Consortium Performance Agreements through approval of extended budgets and work plans.

At FC11, three CRPs, with contracts ending in June 2014, were given 6-month contract extensions as a bridge toward the 2015-2016 extension proposal process.

Currently, nine CRPs end on December 31, 2014, three on June 30, 2015, three on December 31 2015 and the Genebank CRP on December 31, 2016.

The 15 CRPs presented for extension for the period 2015-2016 are: Agriculture and Nutrition for Health (A4NH), Aquatic Agricultural Systems (AAS), Climate Change, Agriculture and Food Security (CCAFS), Dryland Cereals, Dryland Systems, Forests, Trees and Agroforestry (FTA), Grain Legumes, Global Rice Science Partnership (GRiSP), Humid Tropics, Livestock and Fish (L&F), Maize, Policies, Institutions and Markets (PIM), Roots, Tubers and Bananas (RTB), Wheat and Water, Land and Ecosystems (WLE). In support of this request, the Consortium Board has approved 15 CRP 2014 Programs of Work and Budget (POWB).

The activities/budgets contained in the 2015 – 2016 CRP extension proposals will replace any activities/budgets included in the original CRP Proposal for this 2-year period.

For ease of reference a number of additional documents, including the list of supporting documents by CRP and the CRP Budget Request 2015-2016 Table are attached as Annexes to this Cover Sheet. Rachel Kyte will lead the discussion.

Action Requested: The Fund Council is requested to **approve** the extension of program implementation to December 31, 2016 for the 15 CRPs per the attached budget request.

11:00- 11:15 BREAK

Agenda Item 4: 2015-2016 CGIAR Financing Plan

FOR NOTING/DECISION

11:15 – 11:45

In accordance with the Joint Agreement, the Consortium submits an annual Financing Plan for the CGIAR Research Program (CRP) portfolio to the Fund Council (FC). The FC's approval is not required.

At FC11, the Fund Office (FO) and Consortium Office were asked to review the flow of Window 1 cash from donors through to CRPs to identify potential bottlenecks and to suggest improvements. Based on this review, the FO is recommending that an annual allocation of Window 1 funds be approved by the FC based on the Financing Plan instead of the quarterly allocation approved by the FC and based on available funds, as is current practice.

Jonathan Wadsworth will answer questions from the FC on the FO recommendation if requested.

Action Requested: The Fund Council is invited to **note and comment** on the CGIAR Financing Plan 2015-2016.

The Fund Council is invited to **make a decision** on the proposed change to annual approvals of allocation by the Fund Council of Window 1 funding to CGIAR Research Programs.

Agenda Item 5: FC Intellectual Property Report (FC IP)
11:45 – 12:00

FOR ADOPTION

In 2012 the Consortium submitted the first CGIAR Intellectual Assets (IA) Report on the implementation of the IA principles by CGIAR Centers. The CGIAR IA Report provides a review of the implementation of the IA principles by CGIAR Centers in accordance with the provision of the CGIAR Principles on the Management of Intellectual Assets.

At FC10, the FC IP Group assessed the individual Center IA reports and the consolidated IA report prepared by the Consortium Office.

The FC IP Report submitted to FC12 presents the FC IP Group's findings of the second review of the CGIAR 2013 IA Report and recommendations for moving forward.

Fund Council members will be invited to comment on the FC IP Report and whether they consider it to be satisfactory.

Action Requested: The Fund Council is invite to **adopt** the Report and recommendations of the FC IP Group.

Agenda Item 6: System Entity Reports
12:00– 12:10

FOR ADOPTION/DECISION

The Fund Office (FO), Consortium Office (CO), Independent Evaluation Arrangement (IEA) and Independent Science and Partnership Council (ISPC) have provided written reports covering activities, results and financial reports. The Fund Council (FC) members are expected to have read these reports (as well as the rest of the FC12 documents); hence there will be no oral presentation of the reports.

The CO in its progress report presented projected spending to the end of 2014, estimating spending \$563,000 more than the approved budget of \$7.047 million. A request in this regard is presented to the FC for decision.

FC members should note that the ISPC and the IEA did not submit separate reports from their work plans and budgets, which are discussed under Agenda Item 7.

The Head of the FO and CEO of the CO will respond to any questions from the FC.

Action Requested: The Fund Council is requested to **adopt** the Fund Office and Consortium Office Reports.

The Fund Council is invited to **deliberate and decide** on the Consortium Office's request for supplementary resources to cover the projected shortfall of \$563,000 in its 2014 budget.

Agenda Item 7: PRT Report on 2015 Entity Work Programs and Budgets
12:10-12:30

FOR DECISION

Approved at FC3, the collective peer-review process is aimed at ensuring that similar financial rigor and prudent use of public funds is applied to systems costs as is expected of CRPs. The peer review team (PRT) is composed of two donor members of the Fund Council (FC), Philip Chiverton (Sweden) as Convener and David Bergvinson (Bill and Melinda Gates Foundation), and all heads of system entities: Frank Rijsberman (Consortium Office), Jonathan Wadsworth (Fund Office), Peter Gardiner (Independent Science and Partnership Council, ISPC), Rachel Bedouin (Independent Evaluation Arrangement, IEA), and Pamela Crivelli (CGIAR Fund Trustee).

The 2014 workplans and budgets of the system entities and the Trustee are available for the FC's perusal. The budgets are summarized below. The heads of the system entities and the Trustee will respond to any questions or comments.

Budgets of System <i>In US\$ millions</i>				
	2014	2015	2015 Financing	
			<i>CGIAR</i>	<i>Bilateral</i>
Consortium				
Core	6.647	7.065	7.065	
Project	0.400			
Sub-total	7.047	7.065		
Fund Office				
Core	3.914	3.496		
Resource Mobilization ¹		1.350		
Sub-total	3.914	4.846	4.846	
ISPC	3.731	3.648	2.298	1.350
IEA	2.758	3.940	3.940	
Trustee	0.600	0.617	0.617	
TOTAL	18.050	20.116	18.766	1.350

Philip Chiverton will report to the FC on the PRT's deliberations on the work plans and budgets of the system entities.

¹ If approved by the Fund Council. The Resource Mobilization Strategy and its budget will be discussed under Agenda Item 9.

Action Requested:

The Fund Council is requested to **review and decide** on individual work programs/plans and budgets of system entities with the exception of the Resource Mobilization Strategy budget, which will be discussed under Agenda Item 9. This entails:

- The Consortium requesting a budget of \$ 7.065 million to implement its proposed 2015 Program of Work and Budget. This request is approved and/or modified to the overall amount of \$ million.
- The Fund Office requesting a budget of \$3.496 million to implement its proposed 2015 Work Program and Budget (excluding the proposed Resource Mobilization Strategy budget). This request is approved and/or modified to the overall amount of \$ million.
- In addition, the Fund Office is requesting the redirection of the Independent Science and Partnership Council Chair's honoraria and office support of \$0.130 million from the Independent Science and Partnership Council's budget in 2015 to the Fund Office to enable the Fund Office to manage the contract.
- The Independent Evaluation Arrangement requesting a budget of \$3.940 million to implement its Activities and Budget for 2015. This request is approved and/or modified to the overall amount of \$ million.
- The Independent Science and Partnership Council requesting a budget of \$3.648 million to implement its Work Plan and Budget (2015). This request is approved and/or modified to the overall amount of \$ million.
- The Trustee requesting a budget of \$0.617 million to implement its Proposed Budget for CY2015. This request is approved and/or modified to the overall.

12:30- 13:45 LUNCH- Sofitel Brussels

Agenda Item 8: Diversity and Inclusion Strategy
13:45-14:15

FOR DISCUSSION/DECISION

At FC10 the Fund Council (FC) approved the Consortium's Action Plan for Strengthening Gender Research in the CGIAR. At FC12 the Consortium's Diversity and Inclusion Strategy 2015-2019 is being submitted following an FC10 discussion on the need for greater representation of women in senior leadership in the CGIAR.

The FC also requested the Consortium Office (CO) to carry out a survey, in collaboration with CGIAR Centers, on security issues affecting staff worldwide, particularly with regard to specific risks and vulnerabilities arising from discriminatory legislation. The FC then requested the CO to inform the Fund Office inter-sessionally of its intended approach and plans to address these issues.

In response to the FC's request, the CO proposed to develop a draft policy on "Sexual Orientation in the CGIAR" for submission to the Consortium Board in 2015 and subsequently to the FC.

The FC is invited to note the Reviewer Comments on the Consortium CGIAR DAIS that have been made available under this Agenda Item.

The CO CEO will respond to any questions on the DAIS.

Action Requested:

The Fund Council is requested to **comment** on the Consortium Diversity and Inclusion Strategy 2015-2019, providing input for the further development of the Strategy to be presented to FC13 for approval.

The Fund Council is requested to **note** the Second CGIAR Consortium Gender and Diversity Performance Report.

The Fund Council is requested to **discuss** the Consortium's proposal to develop a policy on "Sexual Orientation and the CGIAR," **make comments and approve** the Consortium Office's request to consolidate the policy into the Consortium's Diversity and Inclusion Strategy 2015-2019.

The Fund Council is requested to **approve** the Consortium's request to submit a Gender and Diversity update once annually, in the context of the spring Fund Council as the time system entities report their scorecards.

Agenda Item 9: Resource Mobilization Strategy
14:15-15:15

FOR DECISION

At FC11, the Fund Council (FC) reviewed the Resource Mobilization (RM) Study submitted by CCS Fundraising and prepared under the oversight of the Fund Council Resource Group (FCRG).

The FC requested that a holistic, system-wide Resource Mobilization Strategy (RMS) be prepared and submitted for approval at FC12. The RMS was to set out clear roles and responsibilities for the different CGIAR entities and be developed in close coordination with the SRF development.

The RMS being presented to the FC is the product of FCRG oversight and work carried out by three consulting firms recruited by the Fund Office for their specific subject matter knowledge: (i) CCS Fundraising for the overall RM strategy; (ii) Lions Head Global Partners for innovative finance; and (iii) Mr. Rahim Kanani for communications/advocacy underpinning RM. At different stages in its development the RMS has been shared with representatives across the entire CGIAR system to ensure that a participatory and inclusive process was followed in its development.

The main recommendations of the RMS are that CGIAR urgently:

1. Commit to an ambitious and impact-focused, accountable SRF that aligns with Sustainable Development Goals (SDGs).
2. Work with traditional donors to design and make approaches for large-scale investments in new ways. This will require new proposals and more creative partnerships for impact. Big new investments will be required early to generate momentum for change.
3. Utilize piloting of key aspects of the RMS to demonstrate successes and build momentum.

4. Commit to working with traditional and new donors in new ways, including private sector organizations and government ministries/departments that have not heretofore viewed the CGIAR as a viable partner for collaboration and impact.
5. Recruit a Global Investment Council (GIC) to create a cadre of VIP CGIAR supporters who can raise awareness, flag CGIAR-relevant issues in policy discussions and help lead approaches for “mega” investments (\$50-100m+). They will require world-class communications, special events and RM support.
6. Establish an Innovative Finance Working Group within the GIC to design appropriate mechanisms, beginning with product development partnerships and returnable capital funds. Acknowledge these mechanisms will take time to develop and require an integrated approach with RM and communications.
7. Make RM data collection, analysis and use a priority for stewarding existing donors and making confident, proactive approaches for new funding. Use the data and insights to help Centers set and monitor progress against more ambitious local targets.
8. Make communications a priority and ensure it informs multiple aspects of CGIAR’s work to help develop compelling key messages and a global CGIAR brand.
9. Provide at least a basic level of staff support and expertise regarding communications and RM, especially at the system level. Be ready to scale up staffing and targeted support based on the success of the pilots.

The FCRG Co-convenor will frame the overall discussion.

Mr. Charlie Michaud, of CCS, will present the integrated strategy, and Christopher Egerton-Warburton of Lion’s Head will be a resource on innovative finance.

The PRT Convener may make comments on the RMS budget request.

Action Requested: The Fund Council is requested to **approve** the Resource Mobilization Strategy and **funding in the amount of \$1.35 million** for piloting new resource mobilization activities.

15:15-15:30 BREAK

Agenda Item 10: Strategy and Results Framework 15:30 – 17:30

FOR DISCUSSION

At FC11, the Fund Council (FC) Chair instructed the Consortium to develop a zero-draft Strategy and Results Framework (SRF) and coordinate between the work streams (e.g. Independent Science and Partnership Council (ISPC), Consortium, FC/donors, FC Resource Group/Consortium Resource Group) in the process of further developing the SRF.

Following consultations with the different work streams, the Consortium is presenting a CGIAR Strategy and Results Framework 2016-2025 for discussion by the FC with a proposed timeline until its final adoption by FC14.

At the Funders Forum, the Consortium will present the draft SRF for discussion and the proposed process and timeline for approval.

Rachel Kyte will frame the discussion.

The following individuals will be available to take any questions: (i) Wayne Powell, Consortium Chief Science Officer; (ii) Margaret Gill, ISPC Chair; and (iii) Ann Tutwiler, SRF Reference Group Chair and Bioversity Director General.

Action Requested: The Fund Council is requested to **provide comments** on the SRF and the proposed process, consultations and timeline for approval.

Agenda Item 11: Any Other Business
17:30

19:00 - Departure for Welcome Cocktail Reception Hosted by EC at Borschette

Wednesday Afternoon, November 5th, 2014 (Borschette – 3D)

Welcome to 2nd Day of FC12
14:30- 14:40

Agenda Item 12A: MTR Report
14:40 – 16:00

FOR DISCUSSION

At FC9, the Fund Council (FC) took the decision to commission a mid-term review (MTR) to examine the progress of CGIAR reform efforts, as well as the resulting appropriateness, effectiveness, and efficiency of the overall system, and make recommendations for course corrections and improvements, where necessary. The MTR has been conducted by an independent panel appointed by the FC Chair and reports to the FC through the Chair.

At FC11, Sir John Beddington, Chair of the MTR Panel, provided the FC with a progress report of work done at that point in time.

At FC12, the Final Report from the Mid-Term Review Panel of the CGIAR Reform will be presented for discussion and endorsement. The FC will also take decisions on action planning to implement the MTR's

recommendations. The FC will also be invited to discuss a supplementary budget to support implementation of the MTR's recommendations.

Rachel Kyte will frame the discussion.

Action Requested: The Fund Council is invited to **discuss** the Final Report from the Mid-Term Review Panel of the CGIAR Reform.

16:00-16:15 BREAK

Agenda Item 12B: MTR Report
16:15-(as needed)

FOR DECISION