



Fund

Fund Council

12th Meeting (FC12)—Brussels, Belgium
November 4-5, 2014

WORKING DOCUMENT

CRP Performance Matrix
CRP Humidtropics

Submitted by:
CRP Humidtropics

CRP Performance Matrix

Name of CRP : Humidtropics

Note: Outcomes are numbered in line with the Extension Proposal

Flagship Projects	Expected outcomes 2015 (Quantified)	Expected Outcomes 2016 (Quantified)	Means of verification*
1. Cross-cutting flagship project	Outcome 1.1.a At least three new tools, methods, approaches or guidelines for systems analysis and interventions are used in two Action Sites in each of the four Action Areas.	Outcome 1.1.a Systems Analysis Framework and gender disaggregated data used by four Research and two Development partner organizations in at least four Action Sites per Action Area.	1.1.a Gender-disaggregated monitoring report on use of new tools, methods, approaches and guidelines in each of the Action Areas available online at http://humidtropics.cgiar.org/openaccess/
	Outcome 1.1.b Gender-disaggregated systems analysis data for all Action Areas used by five Research and three Development partner organizations.	Outcome 1.1.b Gender-disaggregated systems analysis data for all four Action Areas used by eight Research and six Development partner organizations.	1.1.b At least two papers published based on gender-disaggregated systems analysis data and reports of use of publications and datasets by R4D platforms.
	Outcome 1.2.a Nutrition option framework considering gender priorities used by 14 Research and 14 Development partner organizations in seven Action Sites of the four Action Areas for definition of dietary diversity entry points and potential interventions.	Outcome 1.2.a Nutrition option framework considering gender priorities used in seven new Action Sites by seven new Research and 14 new Development partner organizations in the four Action Areas for definition of dietary diversity entry points and potential nutrition sensitive interventions.	1.2.a Research partner and Development Partner Reports on use of nutrition option framework and tools that consider nutrition and gender priorities available online at http://humidtropics.cgiar.org/openaccess/
	Outcome 1.2.b The potential nutrition impact pathway from improved farming systems to household diet mapped for at least one Action Site in each Action Area.	Outcome 1.2.b The potential nutrition impact pathway from improved farming systems to household diet mapped for at least two Action Sites in each Action Area.	1.2.b Nutrition impact pathways for each of the action sites available on the website http://humidtropics.cgiar.org/openaccess/
	Outcome 1.2.c A community of practice with at least 14 Research and 14 Development partner organizations (two per action site of 2015) on dietary diversity approaches to nutrition established and facilitated across Action Areas in collaboration with other CRPs.	Outcome 1.2.c A community of practice on dietary diversity approaches to nutrition expanded with at least 21 of Research and 28 of Development partner organizations, and facilitated across Action Areas in collaboration with other CRPs (Note this is cumulative from 2015)	1.2.c Humidtropics with other CRPs' website for Nutrition Community of Practice.
	Outcome 1.2.d At least one R4D and two Innovation Platforms monitor and evaluate nutrition sensitive interventions for gender transformational potential and scaling out strategies in each of the four Action Areas.	Outcome 1.2.d At least an additional other R4D and an additional two Innovation Platforms monitor and evaluate nutrition sensitive interventions for gender transformational potential and scaling out strategies in each of the four Action Areas.	1.2.d Monitoring and evaluation reports mentioning nutrition sensitive intervention available for R4D and innovation platforms
	Outcome 1.3.a Knowledge base on scaling strategies and institutional innovations used by at least two Research and two Development partner organizations in each Action Area.	Outcome 1.3.a Knowledge base on scaling strategies and institutional innovations used by at least eight Research and eight Development partners in each Action Area.	1.3.a Knowledge base and frameworks described in two submitted (2015) and two published (2016) peer reviewed articles and two case study reports. Case study reports on institutional innovation and scaling initiatives made available to R4D platform members (distribution lists, sharing workshops); R4D platform action plans mentioning institutional innovation and scaling strategies
	Outcome 1.3.b At least two Research and two Development partner organizations use framework to influence knowledge, attitudes and behaviours of 200 households in scaling integrated systems innovations in at least four Action Sites.	Outcome 1.3.b Eight Development partner organizations use framework to influence knowledge, attitudes and behaviours of 2,000 households in scaling integrated systems innovations in at least eight Action Sites.	1.3.b Reports on monitoring of R4D platforms, list of participants in R4D platforms, partnership arrangements and R4D work plans reflect integration of frameworks in efforts of scaling and associated reflexive learning towards that end.
	Outcome 1.4.a Gender mainstreaming actions are undertaken by 26 of Humidtropics' R4D partner organizations for priority setting, systems interventions and decision-making in at least two Action Sites in each Action Area.	Outcome 1.4.a Gender mainstreaming actions are undertaken by 31 of Humidtropics' R4D partner organizations for priority setting, systems interventions and decision-making in at least three Action Site in each Action Area.	1.4.a Specific reports that reflect the gender mainstreaming outcomes available online at http://humidtropics.cgiar.org/openaccess/

	Outcome 1.4.b Gender norms study carried out in at least two Action Sites in each Action Area, and data analysed and location-specific data on gender norms in agriculture identified in at least two Action Site in each of the two African Action Areas.	Outcome 1.4.b Gender norms study data analysed and location-specific data on gender norms in agriculture identified in at least one Action Site in each Mekong and Central America Action Area. Salient gender norms are taken into account and used to improve the targeting and design of innovations by at least eight Research Partner organizations in each Action Area.	1.4.b At least two refereed publication on gender norms and agency study and specific reports that reflect gender norms and agency outcones available online at http://humidtropics.cgiar.org/openaccess/
	Outcome 1.5.a At least two new capacities, one new method and one new approach for integrated systems research used by four Humidtropics partner organization and the wider research and development community in all Action Areas.	Outcome 1.5.a Knowledge base training and communication conducted with participants representing 2,500 households across at least three Field Sites per Action Area to strengthen their capacity in systems research and coalition functioning.	1.5.a At least 2 publications and at least 4 workshop reports on new capacities, methods and approaches in the Humidtropics and gender-disaggregated monitoring report on use of new methods and approaches in each of the Action Areas available online at http://humidtropics.cgiar.org/openaccess/
Aggregate outcomes of the four Area-Based Flagship Projects conform with the Extension Proposal	Outcome x.1.a 74 partner organizations use specific Theory of Change and related quantified and qualified Impact Pathways for at least 13 Action Sites to guide systems intervention research.	Outcome x.1.a 54 partner organizations use specific Theory of Change and related quantified and qualified Impact Pathways for at least 13 of Action Sites to guide systems intervention research.	x.1.a M&E reports as well as partner organization reports on specific theories of change and impact pathways available online at http://humidtropics.cgiar.org/openaccess/
The outcome notation of "x" gets in disaggregate form substituted with:	Outcome x.1.b 33 field-sites in 14 Action Sites characterized including the identification of entry points and baseline data for project M&E.	Outcome x.1.b 26 field-sites in 14 Action Sites characterized including the identification of entry points and baseline data for project M&E.	x.1.b Reports of situational analysis, entry point identification, and baseline/household surveys, to be made available online at http://humidtropics.cgiar.org/openaccess/
1 for East and Central Africa, 2 for West Africa, 3 for Central Mekong and 4 for Central America and Caribbean	Outcome x.1.c Entry points identified in Systems Situation Analysis used by 14 R4D Platforms with 22 SRT2 initiatives to develop and trial interventions in at least 12 Action Sites.	Outcome x.1.c Entry points identified in Systems Situation Analysis used by 13 of R4D Platforms with 18 SRT2 initiatives to develop and trial interventions in at least 13 Action Sites.	x.1.c Two refereed publications and Action Area reports on Participatory Rapid Appraisal Innovation in Agricultural Systems, Situation Analysis and Progress reports of SRT 2 on R4D platforms to be made available online at http://humidtropics.cgiar.org/openaccess/
	Outcome x.1.d Results of systems trade-off and synergy analysis in nine Action Sites used by partner organizations as input for research on scaling and institutional innovation.	Outcome x.1.d Results of systems trade-off and synergy analysis in ten Action Sites used by program participants as input for research on scaling and institutional innovation.	x.1.d Research reports on scaling and institutional innovation to be made available online at http://humidtropics.cgiar.org/openaccess/
	Outcome x.2.a 30 introduced best-bet social, and 38 best-bet technical system innovation options transformed into 27 best-fit options by 1,230 households in 12 Action Sites.	Outcome x.2.a 18 introduced best-bet social and 28 best-bet technical system innovation options transformed into 20 best-fit options by 2,720 households in 12 Action Sites.	x.2.a Four refereed articles on transformation of best-bet to best-fit options, and progress research reports on best-fit options, M&E reports to be made available online at http://humidtropics.cgiar.org/openaccess/
	Outcome x.2.b Total Farm Productivity, Income and Natural resources status of different household typologies assessed for each dominant farming system and used as baselines by R4D partners in 11 Action Sites.	Outcome x.2.b Total Farm Productivity, Income and Natural resources status of different household typologies assessed for each dominant farming system in 9 Action Sites, and used as baselines by R4D partners.	x.2.b M&E report on utilization of farm typology data by R4D partners, and assessment reports of analyses to be made available online at http://humidtropics.cgiar.org/openaccess/
	Outcome x.2.c Integrated value chain alternatives analysed in 10 Action Sites and piloted to reform organizational and markeing models, approaches and policies.	Outcome x.2.c Integrated value chain alternatives analysed in 8 Action Sites and piloted to reform organizational and markeing models, approaches and policies.	x.2.c Reports onanalysis of alternative integrated value-chains and at east one refereed articles to be made available online at http://humidtropics.cgiar.org/openaccess/
		Outcome 2.2.d 15 integrated systems in 13 Action Sites use interventions that make them more diverse and resilient to changing social, political, economic, cultural, technical and ecological contexts.	x.2.d. Reports on integrated systems that use interventions that make them more diverse and resilient to changing social, political, economic, cultural, technical and ecological contexts to be available online at http://humidtropics.cgiar.org/openaccess/
	Outcome x.3.a 30 selected development institutions use 11 tools, 12 guidelines and 10 publications on systems productivity, natural resources and institutional development explicitly considering the gender dimension.	Outcome x.3.a 23 selected development institutions use 10 tool, 11 guidelines and 15 publications on systems productivity, natural resources and institutional development explicitly considering the gender dimension.	x.3.a. Reports on selected development institutions using tools, guidelines and publications on systems productivity, natural resources and institutional development explicitly considering the gender dimension for their intervention are available and posted at http://humidtropics.cgiar.org/openaccess/

Outcome x.4.a 14 R4D, 28 Innovation Platforms and 12 other change coalitions used by 85 partner organizations to widen collaboration and research implementation among stakeholders.

Outcome x.4.a 13 R4D, 19 Innovation Platforms and 17 other change-coalitions used by 81 partner organizations to widen collaboration and research implementation among stakeholders.

x.4a. Report on the use of R4D and innovation platforms and other coalitions by partners to widen collaboration and research implementation among stakeholders made available at <http://humidtropics.cgiar.org/openaccess/>

Outcome x.4.b 16 stakeholder mapping and 15 social analysis reports used by 78 partner organizations in 12 Action Sites to prioritize, initiate, and manage gender-sensitive social and technical systems interventions.

Outcome x.4.b 17 stakeholder mapping and 16 social analysis reports used by 97 partner organizations in 12 Action Sites to prioritize, initiate, and manage gender-sensitive social and technical systems interventions.

x.4.b. Reports on the use of stakeholder mapping and social analysis reports by partners to prioritize, initiate, and manage gender-sensitive social and technical systems interventions made available at <http://humidtropics.cgiar.org/openaccess/>