

CGIAR Integrated Partnership Board (IPB) Ad Hoc meeting
13 November 2024, 12:30-14:00 CET, Virtual

Agenda Item 3: Integrated Partnership Risk & Oversight Plan –
Recommendation from the AFRC to the IPB

1. Risk and Oversight Plan – Decision point for the IPB

As communicated to the IPB by the IPB-AFRC Chair in an email dated 8 November 2024, the CGIAR-AFRC¹ is generally supportive of the current plan and recommends it to the IPB for approval, no major points were raised but the AFRC would like the following points communicated to the ICI Forum for consideration on implementation:

- Page 17: Risk and Internal Controls: An articulation of how assurance will be provided on the partnership wide risks and controls including a linkage to the scope of the partnership wide internal audit would be useful. Minimum entity level controls need to be defined and considered as part of eligibility for partnership wide funding.
- Page 33: Integrated Partnership Audit Executive: This role should also be responsible for overseeing the delivery of partnership wide assurance (including Center assurance) in accordance with the IIA standards. Ideally this executive should be a standing member of the GLT.
- Page 35: Internal Audit: The DG reporting line should be administrative only or it needs to be clearly specified what aspects of the role they report into the DG on vs the Center Board via the Center AFRC. Maintaining individual teams and not moving towards a shared service, will limit the ability to get a more balanced skill set in the IA function and improve IA delivery.
- Page 43: Ethics and Business Conduct: Would suggest that the functional and administrative reporting lines be unpacked. It would be better to articulate what aspects of the role are covered by the EMD vs the AFRC reporting line.
- Page 43: Ethics and Business Conduct: Why not have a partnership wide Ethics Committee - for high risk or partnership wide matters?

¹ The IPB-AFRC came into effect from 5 November while the Risk & Oversight Plan was distributed on 31 October for review. The recommendation to the IPB has therefore come from the CGIAR AFRC members and not the IPB-AFRC members who have not had the opportunity to review the Plan.