

Policy Framework from December 2010 to December 2016

CGIAR policies and guidelines (not part of COF)

The System Management Board has endorsed all current CGIAR policies and guidelines previously approved by the Consortium Board

Consortium Policies and Guidelines	Consortium Approval Date	Effective on	Endorsed by Centers as mandatory as of [Date]	% of Centers endorsement	Mandatory for all Centers as of
Consortium level Monitoring Principles	September 8, 2011 (CB6)	September 8, 2011	N/A*	N/A*	September 8, 2011
Consortium level Gender Strategy - (revised and approved by the CB in November 2011)	September 8, 2011 (CB6) ¹	September 8, 2011	N/A*	N/A*	September 8, 2011
CGIAR Branding Guidelines - revised in September 2014	May 23, 2012 (CB8)	May 23, 2012	N/A*	N/A*	May 23, 2012
CGIAR Consortium Policy Adoption Process : formalized (revised) and approved by the CB on March 20, 2014 (CB15) ²	June 13, 2013 (CB12)	March 20, 2014	June 13, 2013	100%	March 20, 2014
Good Governance Center Board Checklist (aide-mémoire)	June 13, 2013		Not mandatory		
CGIAR Consortium Financial Certification Framework Amended 26 March, 2015	June 13, 2013	June 13, 2013	June 13, 2013		June 13, 2013
Implementation Guidelines for the CGIAR Principles on the Management of Intellectual Assets	June 14, 2013 (CB12)	June 14, 2013	N/A*	N/A*	June 14, 2013
CGIAR Open Access and Data Management Policy	October 2, 2013 (CB13)	October 2, 2013	November 20, 2013	100%	November 20, 2013
CGIAR Open Access and Data Management Implementation Guidelines	July 23, 2014 (CO)	July 23, 2014	N/A	N/A	July 23, 2014
Shared Services in the CGIAR Consortium-A strategy	March 20, 2014 (CB15)	March 20, 2014	N/A ³	N/A	N/A

*Policy approved by CB before 1 July 2013 therefore the 75% Center endorsement rule set out in the Policy Adoption Process does not apply

¹ Initially approved by the CB on Sept. 8, 2011 but a revised Consortium Level Gender Strategy was approved by the CB in November 2011.

² This was initially part of the GRCC's recommendations endorsed by Centers on June 13, 2013 and approved by the CB on June 13, 2013. Subsequently revised and approved by the CB on March 20, 2014.

³ This was approved by the CB and shared with Centers but not formally endorsed by Centers.